



Main Custodian List

The Main Custodian List contains all necessary information for a smooth securities settlement in the respective markets according to the principle of straight through processing. Last update: May 10, 2019

Please note:

You will find detailed information (Cut-Off Times, Currency, Market Rules etc.) for all markets in the Market Guides in the UBS Custody Portal for [Financial Institutions](#) or [Institutional Clients and Asset Managers](#).)

For access to the UBS Custody Info Portal, please contact your account or relationship manager

Mutual/Offshore Funds:

| | |
|--|----------------------------------|
| Clearstream Banking SA Funds (formerly Citco Dublin) | Credit Suisse Mutual Funds |
| Mitsubishi UFJ Investor Services & Banking (Luxembourg) SA | Clariden Leu |
| Citibank Singapore Funds | Kredietbank S.A. Luxembourgeoise |
| Citibank Luxembourg Funds | GAM Dublin |
| UBS Luxembourg Funds | UBS Jersey Funds |

Money Market:

Canadian Treasury Bills, TB

U.S. Treasury Bills, TB

Markets:

| | |
|-----------------------|---------------------|
| Argentina | Malaysia |
| Australia | Mexico |
| Austria | Morocco |
| Belgium | Netherlands |
| Brazil | New Zealand |
| Bulgaria | Norway |
| Canada | Peru |
| Chile | Philippines |
| China | Poland |
| Croatia | Portugal |
| Cyprus | Qatar |
| Czech Republic | Romania |
| Denmark | Russia |
| Egypt | Saudi Arabia |
| Estonia | Serbia |
| EuroMarket | Singapore |
| Finland | Slovakia |
| France | Slovenia |
| Germany | South Africa |
| Greece | South Korea |
| Hong Kong | Spain |
| Hungary | Sri Lanka |
| India | Sweden |
| Indonesia | Switzerland |
| Ireland | Taiwan |
| Israel | Thailand |
| Italy | Turkey |

Japan**Japanese Government Bonds (JGB)**

Latvia

Lithuania

United Arab Emirates**United Kingdom**

United States of America

Argentina**SETTLEMENT INSTRUCTIONS**

| | |
|---|--|
| CSD (Central Securities Depository) | Caja de Valores (CDV), Buenos Aires |
| Local Agent | Citibank N.A. Buenos Aires |
| SWIFT-Address (custodian) | CITIUS33ARR |
| Favour of: | |
| Account Number in the name of | UBS AG, Zurich UBSWCHZH80A 815905 |
| Market Set-up | <p>Account structure Securities are held in an omnibus account. Limited trading and custody through Booking Center Switzerland.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities Segregated accounts in the name of the investors are not supported in the market. UBS as the holder of the omnibus account is seen as the owner of the securities.</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S; 4 p.m. |
| Fixed Income DFP/RFP | S; 4 p.m. |
| Equities DVP/RVP | Until further notice, no versus payment is possible |
| Fixed Income DVP/RVP | Until further notice, no versus payment is possible |

[Back to top](#)**Australia****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Austraclear Ltd., Sydney ASX Settlement and Transfer Corp., Sydney |
| Local Agent | J.P. Morgan Nominees Australia Limited MELBOURNE |
| SWIFT-Address (place of safekeeping) | CHASAU2XDCC effective 19. May 2014 |

Australia

| | |
|--|---|
| Local Clearing No | CHESS PID: 20104 Australclear Code CMNL20 |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZ |
| Account Number of custodian with local agent | 248300021109 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A CH100025 |
| Market Set-up | <p>Account structure Securities held by non-residents of Australia maybe safe-kept in an omnibus custody account. Securities held by residents of Australia must be safe-kept in segregated custody accounts per client, provided the respective investor type or UBS entity/business are not restricted by the UBS cross-border policy.</p> <p>Disclosure Clients with securities held in a segregated account must disclose their identity and waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors Cross-border Australia business restricts Australian residents from investing in securities through UBS. Please refer to cross-border policy for scope and details.</p> <p>Specialities n/a</p> |
| Additional information | Settlement against AUD; 'All or None' orders possible |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-1; 5 p.m. |
| Fixed Income DFP/RFP | S-1; 5 p.m. |
| Equities DVP/RVP | S-1; 3 p.m. |
| Fixed Income DVP/RVP | S-1; 3 p.m. |

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Austria**SETTLEMENT INSTRUCTIONS**

| | |
|-------------------------------------|--|
| CSD (Central Securities Depository) | Oesterreichische Kontrollbank AG (OeKB) |
| Local Agent | UniCredit Bank Austria AG BKAUATWWXXX |

Austria

| | |
|---|--|
| SWIFT-Address (place of safekeeping) | Place of Settlement: OCSDATWWXXX Place of Safekeeping: BKAUATWWXXX In favour of: UBSWCHZH80A |
| Local Clearing No | OCSD 222100 |
| Custodian | UniCredit Bank Austria AG |
| SWIFT-Address (custodian) | BKAUATWWXXX |
| UBS Account Number with Custodian | 00015740400 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A 00015740400 |
| Market Set-up | Account structure All securities are held in an omnibus account. Disclosure n/a Investor ID n/a Restriction for Investors n/a Specialities n/a |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 5.15 pm |
| Fixed Income DFP/RFP | S 5.15 pm |
| Equities DVP/RVP | S 3.15 pm. |
| Fixed Income DVP/RVP | S 3.15 pm |

[Back to top](#)**Belgium****SETTLEMENT INSTRUCTIONS**

| | |
|-------------------------------------|--|
| CSD (Central Securities Depository) | ESES Equities: Euroclear Belgium (EBE), Brussels Non ESES physical Securities: via Citibank International Plc - Banque De Groof, Dexia or Fortis NBB Bonds: NBB (Banque Nationale de Belgique), Brussels |
| Local Agent | Citibank International Plc. |

Belgium

| | |
|--|--|
| SWIFT-Address (place of safekeeping) | ESES securities CIKBBEBBXXX Non-ESES securities NBBEBeBB216 |
| Custodian | Citibank International Plc |
| SWIFT-Address (custodian) | CITTGB2L |
| Account Number of custodian with local agent | Local agent for ESES-eligible securities: BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0006030569 Local agent for NON ESES-eligible securities: BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0006030589 >> For physically held securities please always liaise first with your dedicated Settlement Team. Local agent for NBB Bonds: NBB Account: 4012 (Citibank's number) Place of Settlement: NBBEBeBBXXX NBB X-Account (Exempt,non-Resident): 0006030599 NBB N-Account (Non-Exempt,Resident): 0006030609 |
| Favour of: | |

Belgium

Market Set-up

Account structure

Because of different withholding tax (WHT) rates on Belgian bonds integrated in the X/N regime (X/N-bonds), assets have to be segregated into a "non-taxable account" (for non-taxable investors) and a "taxable account" (for taxable investors). Investors who are tax-exempt in Belgium have to confirm this by signing a declaration.

Bank-clients have to maintain two separate custody accounts with UBS. One for taxable investors and one for non-taxable investors. For both accounts UBS requires bank-clients to sign a declaration confirming that X/N-bonds in the accounts are only held for the specific investor categories.

Clearstream Banking, the custodian of UBS in the Euromarket, maintains only a tax-exempt account within the X/N system. It is therefore not allowed to hold X/N-bonds on behalf of taxable investors with Clearstream.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

There is a buying restriction on various X/N-bonds (regime 2). These securities may not be held by 'taxable investors'.

Investors which are not exempt from withholding tax on interests in Belgium are currently not allowed to hold Belgian debt securities (NBB) in a currency other than EUR.

A list of all Belgian X/N-bonds including the regimes can be found on the [NBB website](#).

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S 5.15 p.m. |
| Fixed Income DFP/RFP | S 5.15 p.m. |
| Equities DVP/RVP | S 3.15 p.m. |
| Fixed Income DVP/RVP | S 3.15 p.m. |

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Brazil

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | Companhia Brasileira de Liquidacao e Custodia (CBLC), Sao Paulo (Equities) Sistema Especial de Liquidacao e Custodia (SELIC), Sao Paulo (Government Bonds) Central de Custodia e Liquidacao Financeira de Títulos (CETIP), Sao Paulo (Corporate Bonds) |
| Local Agent | Unibanco Itau S.A. BR-04583-132 Sao Paulo |
| SWIFT-Address (place of safekeeping) | ITAUBRSPINT |
| Investors ID tied to account | Individual CVM code per investor |

Brazil**Favour of:**

Market Set-up

Account structure

All securities must be held in segregated accounts per investor.

Disclosure

Clients with segregated accounts must disclose their identity. These clients are required to waive the banking secrecy.

Investor ID

Every investor has to apply for an individual investor ID (CVM code) prior to investing in the market. Additionally, an individual tax ID (CNPJ for legal entities and CPF for natural persons) must be obtained via UBS's custodian.

Restrictions for Investors

Investors with residency in Brazil are not allowed to invest in Brazilian securities through a foreign bank (e.g. UBS).

Specialities

n/a

Additional information

ATTENTION**OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD****Cut-Off Times - Client Deadlines**

Equities DVP/RVP

S-1; 3 p.m.

Fixed Income DVP/RVP

S 12.00

[Back to top](#)**Bulgaria****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

Equities and Corporate Bonds:
Central Depository AD (CEDPBGFSF)
12689 - counterparties must state this CSD ID on instructionsGovernment Bonds:
Bulgarian National Bank (BNBGBGSF)

SWIFT-Address (place of safekeeping)

RZBAATWW

Custodian

Raiffeisen Bank International AG, Vienna

SWIFT-Address (custodian)

RZBAATWW

Account Number of custodian with local agent

Segregated accounts on investor level for residents of tax haven countries.
Client omnibus account for all other investors.

12689 - counterparties must state this account on transactions at Central Depository AD (CEDPBGFSF)

Favour of:

Bulgaria

| | |
|---|--|
| Account in favour of | Segregated accounts on investor level for residents of tax haven countries. Client omnibus account for all other investors - 8-65.097.230 UBS Switzerland AG UBSWCHZH80A |
| Market Set-up | Account structure Omnibus account for non-residents of tax haven countries Segregated accounts for residents of tax haven countries Disclosure Disclosure of non-residents of tax haven countries in omnibus account upon request of the regulator Disclosure of residents of tax haven countries upon opening of segregated account Investor ID n/a Restrictions for Investors n/a Specialities n/a |
| Additional information | ATTENTION SETTLEMENT VERSUS EURO ONLY |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 10.00 a.m. |
| Fixed Income DFP/RFP | S 10.00 a.m. |

[Back to top](#)**Canada****SETTLEMENT INSTRUCTIONS**

| | |
|--|--|
| CSD (Central Securities Depository) | The Canadian Depository for Securities (CDSX), Toronto |
| Local Agent | CIBC Mellon Global Securities CA-Toronto, ONT M5L-1G9 |
| SWIFT-Address (place of safekeeping) | MELNUS3PGSS |
| Local Clearing No | CUID-Number: COMO |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZXXX |
| Account Number of custodian with local agent | SXSF 1001002 CUID-Number: COMO |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A CH100025 |

Canada

Market Set-up

Account structure

Market regulation requires securities of residents of Canada to be kept in segregated accounts in the name of the investor.

Disclosure

Residents of Canada have to sign a declaration for the opening of a segregated account with UBS's custodian in Canada. The declaration enables UBS to disclose the clients' names.

Investor ID

n/a

Restrictions for Investors

- UBS is required to open segregated accounts for Canadian residents at its sub-custodian in Canada.

UBS does not hold Canadian securities for Canadian residents at sub-custodians or central depository organizations outside of Canada.

- Investors domiciled outside of Canada are not allowed to invest in certain Canadian (constrained) securities.

A list of the constrained securities is available on UBS's Custody Info Portal under 'Clearing & Settlement' in section 'Securities List' at:

[Canadian securities with restrictions for foreign investors](#)

Specialities

Settlement Chill (locked securities)

No transactions in affected securities list "Frozen Letter Securities" published by DTCC.

Additional information

Special conditions for shares TELUS traded for investors not resident in Canada:

Tradable ISIN: CA87971M1032, deliverable ISIN: CA87971M9969 (for non-residents)

Purchases and receipts: Only the ISIN CA87971M1032 (for Canadian residents) is tradable. This ISIN is generally blocked for purchases and will only be opened upon request.

In case a non-resident Investor buys or delivers in such shares, UBS will have to ask the transfer agent for a reservation number and convert the shares into non-resident shares (CA87971M9969) if possible. This will only be possible if the quota for non-residents is not fully utilized. In case the quota is fully utilized, a trade may have to be canceled or a delivery cannot be accepted.

Important: please forward us the filled and signed [Telus Corp. Reservation Application Form](#) along with or prior to your settlement instruction.

Sales and deliveries: Before shares TELUS held by non-residents can be sold or delivered out, they have to be converted from non-resident shares into the tradable shares (CA87971M1032). The conversion has to be instructed and takes about 8 - 10 days. The order to sell or deliver out the shares must only be entered after the conversion took place.

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S 5 p.m. |
| Fixed Income DFP/RFP | S; 5 p.m. |
| Equities DVP/RVP | S-1 4 p.m. |
| Fixed Income DVP/RVP | S 5.30 p.m. |

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Canadian Treasury Bills, TB

CSD (Central Securities Depository), TB The Canadian Depository for Securities (CDSX), Toronto

Canadian Treasury Bills, TB

| | |
|--|--|
| Local Agent, TB | Royal Bank of Canada, Toronto |
| SWIFT-Address (place of safekeeping), TB | ROYCCAT2 |
| Account Number in the name of, TB | UBS Switzerland AG UBSWCHZH80A Account number # 120026500001 - UBS SWITZERLAND AG A/C MMP Account number # 120026500002 - UBS SWITZERLAND AG-PROPRIETARY ASSETS |
| Additional information, TB | Max. nominal value per trade is CAD / USD 50 million |

[Back to top](#)**Chile****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Deposito Central de Valores S.A., DCV, Santiago |
| Local Agent | Banco de Chile, Santiago |
| SWIFT-Address (place of safekeeping) | CITIUS33XXX |

Favour of:

| | |
|---------------------------------------|---|
| Account number UBS with the custodian | every investor has his own account number |
|---------------------------------------|---|

Market Set-up**Account structure**

All securities are held in segregated accounts.

Disclosure

The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.

Investor ID

Each client requires an individual tax ID.

Restrictions for Investors

Individual investors (regardless of domicile/nationality) are not allowed to invest in Chile or in Chilean securities.

Corporate/Institutional investors domiciled/registered in Chile are not allowed to invest in Chile or in Chilean securities.

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|------------|
| Equities DFP/RFP | S; 3 p.m. |
| Fixed Income DFP/RFP | S; 11 a.m. |
| Equities DVP/RVP | S-2 4 p.m. |
| Fixed Income DVP/RVP | S-2 4 p.m. |

[Back to top](#)**China**

China**SETTLEMENT INSTRUCTIONS**

| | |
|-------------------------------------|--|
| CSD (Central Securities Depository) | Shanghai & Shenzhen China Securities Depository & Clearing Corporation Limited (CSDCC) |
|-------------------------------------|--|

| | |
|--------------------------------------|----------|
| SWIFT-Address (place of safekeeping) | CITIHKHX |
|--------------------------------------|----------|

| | |
|-------------------------------------|--|
| UBS Account Number with local agent | Shanghai: 1734580000 Shenzhen: 1734580001 |
|-------------------------------------|--|

Favour of:

| | |
|------------------------------------|---|
| Additional Settlement Informations | China -A- Shares - Shanghai / Shenzhen Connect / Mutual Market Access (MMA): settlement cycle: |
|------------------------------------|---|

T+0 for buy and sell side

Waiver:
direct client 65062
B4B 65061

Market Set-up**China A-Shares - Shanghai / Shenzhen Stock Connect / Mutual Market Access (MMA)**

Stock Connect is a mutual order-routing connectivity and related technical infrastructure which was established by the Stock Exchange of Hong Kong Limited (SEHK) with the relevant exchanges approved by the PRC regulators from time to time currently covering Shanghai and Shenzhen exchanges to enable investors in both markets to trade designated equity securities listed in the respective other market.

Currently, only northbound trading is available, i.e., the trading of Shanghai / Shenzhen securities by eligible investors through Stock Connect. In the initial stage, only A shares will be included in the northbound trading but no other product types such as B shares, Exchange Traded Funds, bonds.

Account Structure

The securities through Shanghai/ Shenzhen Stock Connect (MMA) are held in an omnibus account (currently A-shares only).

Disclosure

The exchange may require Stock Connect participants to provide information on investors and their transactions at any time.

Restricted Investors

Not allowed to trade in securities through Shanghai/ Shenzhen Stock Connect (MMA) are:

- Legal entities incorporated or registered in the People's Republic of China (PRC)
- Individual who are nationals of the PRC and also domiciled in the PRC

China (Shanghai/Shenzhen direct investments)

UBS Wealth Management entities are currently not supporting direct investments in China A-shares or B-shares at the Shanghai and Shenzhen Stock Exchanges.

In the above context, Macau, Hong Kong and Taiwan are not considered Chinese territory.

Additional information

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|---|
| China A -Shares- Shanghai / Shenzhen Stock Connect / Mutual Market Access (MMA) |
|---|

Waiver:
direct client: 65062
B4B: 65061

Cut-Off Times - Client Deadlines

China

| | |
|----------------------|---|
| Equities DFP/RFP | Shanghai: S-2 5 p.m. Shenzhen: S-2 5 p.m. |
| Fixed Income DFP/RFP | Shanghai: S-1 5 p.m. Shenzhen: S-2 5 p.m. |
| Fixed Income DVP/RVP | S-1; 1 p.m. |
| Equities DVP/RVP | Shanghai: S-1 3pm for -B-shares Shenzhen: S-2 3 pm for -B- shares Shanghai T+0 3.30 pm local HK Time for -A- shares Shenzhen T+ 0 3.30 pm local HK time for -A- shares |

[Back to top](#)**Croatia****SETTLEMENT INSTRUCTIONS**

| | |
|--|--|
| CSD (Central Securities Depository) | Sredisnje Klirinsko Depozitarno Drustvo, dionicko drustvo (SKDD), Zagreb |
| Local Agent | Zagrebacka Banka Zagreb |
| SWIFT-Address (place of safekeeping) | ZABAHR2 |
| Custodian | UniCredit Bank Austria AG |
| Account Number of custodian with local agent | Segregated accounts on investor level |
| Favour of: | |
| Account in favour of | Segregated accounts on investor level UBS Switzerland AG UBSWCHZH80A |

Market Set-up**Account structure**

Croatian securities must be held in segregated accounts per investor.

Disclosure

Disclosure of investors holding ADR/GDR with underlying Croatian securities upon request of the regulator.

Clients with segregated accounts and/or holding ADR/GDR with underlying Croatian securities must disclose their identity and are required to waive the banking secrecy.

Investor ID

The Croatian authorities do not allocate specific Investor IDs but do require investors holding Croatian securities and ADR/GDR with underlying Croatian securities to disclose their 'Osobni Identifikacijski Broj' (OIB) tax ID.

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|------------------|-----------|
| Equities DFP/RFP | S; 9 a.m. |
|------------------|-----------|

Croatia

| | |
|----------------------|-------------|
| Fixed Income DFP/RFP | S; 9 a.m. |
| Equities DVP/RVP | S-2; 4 p.m. |
| Fixed Income DVP/RVP | S-2; 4 p.m. |

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Cyprus**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | Central Securities Depository (CSD), Nicosia |
| Local Agent | Citibank Athens Athen |
| SWIFT-Address (place of safekeeping) | CITIGRAA |

Favour of:

| | |
|-------------------------------|---|
| Account Number in the name of | UBS AG, Zurich UBSWCHZH80A 801485 |
|-------------------------------|---|

Market Set-up**Account structure**

For tax reasons (Special Contribution for Defence Tax, SCDT), Cypriot securities are held in different omnibus accounts for the following client categories.

- non-residents of Cyprus
- residents of Cyprus which are corporate clients (legal entities)
- residents of Cyprus who are individual clients (natural persons)

Bank-clients of UBS (B4B) have to maintain separate custody accounts per investor category with UBS. For each of these accounts, a declaration must be signed confirming that Cypriot securities are held only for the specific investor category.

Disclosure

Financial institutions holding omnibus accounts (e.g., UBS) must be in a position to disclose details of underlying investors upon request of the Cyprus Securities and Exchange Commission (CySec). Therefore, all clients are required to sign disclosure declarations waiving the banking secrecy prior to investing in the market.

Investor ID

n/a

Restriction for Investors

For tax reasons, residents of Cyprus are not allowed to hold Cypriot securities through UBS with Clearstream Banking Luxembourg.

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|------------------------|
| Fixed Income DFP/RFP | S-1; 11 a.m. |
| Equities DVP/RVP | Currently under review |
| Fixed Income DVP/RVP | S-1; 9 a.m. |

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Czech Republic**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | Central Securities Registry (SCP), Prague |
| Local Agent | Unicredit Bank Czech Republic, A.S. Prague |
| SWIFT-Address (place of safekeeping) | BACXCZPP |
| SWIFT-Address (custodian) | BACXCZPP |

Favour of:

| | |
|----------------------|--|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A 81165001 - Client omnibus account. Additional segregated client accounts. |
|----------------------|--|

Market Set-up**Account structure**

All securities are held in an omnibus account.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|---------------|
| Equities DFP/RFP | S 2 p.m. |
| Fixed Income DFP/RFP | S 2 p.m. |
| Equities DVP/RVP | S-1 5.30 p.m. |
| Fixed Income DVP/RVP | S-1 5.30 p.m. |

[Back to top](#)**Denmark****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | VP Securities A/S, Copenhagen |
| Local Agent | Nordea Danmark, Filial af Nordea Bank Abp, Finland |
| SWIFT-Address (place of safekeeping) | VDPKDKKKXXX |
| Custodian | Nordea Danmark, Filial af Nordea Bank Abp, Finland |
| SWIFT-Address (custodian) | NDEAFIHH030 |
| UBS Account Number with Custodian | 020671009430888 |

Favour of:

| | |
|----------------------|-----------------------------------|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |
|----------------------|-----------------------------------|

Denmark

| | |
|---------------|---|
| Market Set-up | <p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
|---------------|---|

Cut-Off Times - Client Deadlines

| | |
|----------------------|---------------|
| Equities DFP/RFP | S; 12.30 noon |
| Fixed Income DFP/RFP | S; 12.30 noon |
| Equities DVP/RVP | S 11.15 a.m. |
| Fixed Income DVP/RVP | S 11.15 a.m. |

[Back to top](#)**Egypt****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | Misr for Clearing, Depository and Central Registry (MCDR), Cairo |
| Local Agent | HSBC Cairo Egypt |
| SWIFT-Address (place of safekeeping) | EBBKEG CX |

Favour of:

| | |
|----------------------|--|
| Account in favour of | Please be informed that Egypt is a beneficial owner segregated market.. Therefore please liaise either with your counterparty or in case of your own account, contact your UBS Relationship Manager. |
|----------------------|--|

Egypt

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of segregated accounts requires disclosure of the clients' identity and shareholder structure.

For corporate clients, their management (BOD) and any shareholder of 10% or more needs to be disclosed.

All clients must waive the banking secrecy.

Investor ID

For each client a unified code needs to be requested. The unified code is valid for 3 years and new documentation may be required in order to renew the unified code after this period.

Restrictions for Investors

The Office of the General Prosecutor issued a list of individuals who are prohibited for all investment dealings in Egypt.

Each corporate investor needs to check that none of its shareholders is on the prohibited list, if such shareholders are detected, the Egyptian stock exchange needs to be notified. This usually restricts the possibility for open-end investment funds to invest in the market.

In addition, Egyptian residents and/or nationals are restricted from investing in the Egyptian market via UBS due to repatriation restrictions.

Specialities

No trading offered through UBS Switzerland AG

Additional information

ATTENTION

OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD

No trading offered through Booking Center Switzerland.

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DVP/RVP | S-2; 4 p.m. |
| Fixed Income DVP/RVP | S-2; 4 p.m. |

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Estonia

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | AS Eesti Väärtpaperikeskus (EVK), Tallinn |
| Local Agent | Nordea Bank Finland, Helsinki |
| SWIFT-Address (place of safekeeping) | Receiving/delivering Agent (Party 1): HABAE2XXXX (Swedbank AS, Tallinn) Client of delivering/receiving CSD Participant (Party 2): NDEAFIHH030 (Nordea Bank Finland Plc, Helsinki) Safekeeping account (Party 2): 99102240782 |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZXXX |

Estonia

| | |
|--|---|
| Account Number of custodian with local agent | 20006701027735(EUR) |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A CH100025 |
| Market Set-up | Account structure All securities are held in an omnibus account. Disclosure n/a Investor ID n/a Restrictions for Investors n/a Specialities n/a |
| Additional information | ATTENTION SETTLEMENT VERSUS EURO ONLY |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 8.00 am |
| Fixed Income DFP/RFP | S 8.00 am |
| Equities DVP/RVP | S 8.00 am |
| Fixed Income DVP/RVP | S 8.00 am |

[Back to top](#)**EuroMarket****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Clearstream Banking Luxembourg SA (CBL), Luxembourg |
| Local Agent | Clearstream Banking Luxembourg SA (CBL), Luxembourg |
| SWIFT-Address (place of safekeeping) | CEDELULL |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 13463 |

EuroMarket

| | |
|---|--|
| Market Set-up | <p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Additional information | 93593 (Euroclear Equivalent No) |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | n/a - equities are held domestic |
| Fixed Income DFP/RFP | <p>Bridge (Counterparty settling in Euroclear): S; 12 noon mandatory settlement S; 3 p.m. optional settlement (UBS default setting)</p> <p>CBL Inhouse: S; 2 p.m. mandatory settlement S; 5 p.m. optional settlement (UBS default setting)</p> |
| Equities DVP/RVP | n/a (no shares in Clearstream) |
| Fixed Income DVP/RVP | <p>S-1 2.30 p.m. (CNY, SGD) S-1 5 p.m. (AUD, HKD, JPY, NZD, ILS, CZK) S 08.30 a.m. (HUF, RON, RUB) S 10.00 a.m. (ISK, PLN, ZAR) S 11.30 a.m. (DKK, NOK, TRY, SEK) S 2.15 p.m. (EUR, GBP) S 4 p.m. (CAD, MXN, USD)</p> |

[Back to top](#)**Finland****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|-----------------------------------|
| CSD (Central Securities Depository) | Euroclear Finland Ltd |
| Local Agent | Nordea Bank Abp |
| SWIFT-Address (place of safekeeping) | NDEAFIHHXXX |
| SWIFT-Address (custodian) | NDEAFIHHXXX |
| UBS Account Number with Custodian | 02 2000 12438495 3 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |

Finland

Market Set-up

Account structure

Finnish securities holdings held by nationals and/or residents of Finland must be kept in segregated accounts in the name of the clients.

Securities held by other clients are kept in an omnibus account.

Disclosure

The opening of segregated accounts for nationals and/or residents of Finland requires disclosure of the client's name. The client has to waive the banking secrecy.

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|--------------|
| Equities DFP/RFP | S; 1.00 p.m. |
| Fixed Income DFP/RFP | S; 1.00 p.m. |
| Equities DVP/RVP | S; 1.00 p.m. |
| Fixed Income DVP/RVP | S; 1.00 p.m. |

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France**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Euroclear France (EF), Paris |
| Local Agent | Citibank International Plc. |
| SWIFT-Address (place of safekeeping) | CITTGB2LXXX |
| Custodian | Citibank International Plc. |
| SWIFT-Address (custodian) | CITTGB2L (settlement) CITTGB2L (asset servicing - instructions via MT565) CITIGB2L (asset servicing - instructions via MT599) |

France

Account Number of custodian with local agent

Local agent for ESES-eligible securities:
BIC: CITTGB2LXXX
in favor of SWIFT BIC UBS: UBSWCHZH80A
in favor of Account No: 0654170002

Local agent for NON ESES-eligible securities:
BIC: CITTGB2LXXX
in favor of SWIFT BIC UBS: UBSWCHZH80A
in favor of Account No: 0654170002

>> Non-ESES securities are rare in market France. In the rare cases there is a transaction of such a security, UBS Settlements will manually format as appropriate.

Local sub-agent for non-EUR versus payment settlement:

Euroclear Bank
BIC: MGTCBEBEECL
Euroclear account: 11390
in favor of SWIFT BIC: UBSWCHZH80A or CITTGB2LXXX (not matching criteria)
in favor of Account No: 0656753048

Favour of:

Account in favour of

Local agent for ESES-eligible securities:
BIC: CITTGB2LXXX
in favor of SWIFT BIC UBS: UBSWCHZH80A
in favor of Account No: 0654170002

Local agent for NON ESES-eligible securities:
BIC: CITTGB2LXXX
in favor of SWIFT BIC UBS: UBSWCHZH80A
in favor of Account No: 0654170002

>> Non-ESES securities are rare in market France. In the rare cases there is a transaction of such a security, UBS Settlements will manually format as appropriate.

Local sub-agent for non-EUR versus payment settlement:

Euroclear Bank
BIC: MGTCBEBEECL
Euroclear account: 11390
in favor of SWIFT BIC: UBSWCHZH80A or CITTGB2LXXX (not matching criteria)
in favor of Account No: 0656753048

France

Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

"Registered Shares"

No direct sale of registered shares which are registered in the client's name. The registered shares have to be exchanged for bearer shares before they can be sold. This can take a few days.

"Prime Fidelite"

Various shares offer the "prime fidelite" (higher dividends, additional voting rights). In order to receive these benefits, clients have to disclose personal information and an individual account in the client's name is required in the market.

Cut-Off Times - Client Deadlines

| | |
|----------------------|--------------|
| Equities DFP/RFP | S; 5.15 p.m. |
| Fixed Income DFP/RFP | S; 5.15 p.m. |
| Equities DVP/RVP | S; 3.15 p.m. |
| Fixed Income DVP/RVP | S; 3.15 p.m. |

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Germany**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Clearstream Banking Frankfurt AG (CBF), Frankfurt |
| Local Agent | Clearstream Banking AG (Frankfurt) Mergenthalerallee 61, 65760 Eschborn, Germany |
| SWIFT-Address (place of safekeeping) | DAKVDEFFXXX |
| Local Clearing No | 8146 - Domestic (Cascade/CBF) 68146 - Foreign securities (AKV Securities at CBL) |
| SWIFT-Address (custodian) | UBSWCHZHT2S |
| Custodian | Clearstream Banking AG (Frankfurt) |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZHT2S DAKV account 8146 |

Germany

Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|--|
| Equities DFP/RFP | S 5.15 pm |
| Fixed Income DFP/RFP | Domestic Bonds are held via Clearstream Luxembourg |
| Equities DVP/RVP | S 3.15 pm |
| Fixed Income DVP/RVP | Domestic Bonds are held via Clearstream Luxembourg |

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Greece

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Central Securities Depository (CSD), Athens |
| Local Agent | Citibank Athens |
| SWIFT-Address (place of safekeeping) | CITIGRAAXX |
| Local Clearing No | 991026 / 991023 |

Favour of:

| | |
|-------------------------------|---|
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A Individual account for each client |
|-------------------------------|---|

Greece

Market Set-up

Account structure

All securities are held in segregated accounts

Disclosure

The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|--------------|
| Equities DFP/RFP | S 1 p.m. |
| Fixed Income DFP/RFP | S; 1.30 p.m. |
| Equities DVP/RVP | S 1.30 pm |
| Fixed Income DVP/RVP | S; 1.30 pm. |

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Hong Kong**SETTLEMENT INSTRUCTIONS**

| | |
|---------------------------------------|--|
| CSD (Central Securities Depository) | Hong Kong Securities Clearing Co. Ltd., Hong Kong |
| Custodian | For CCASS eligible instruments: UBS Securities Hong Kong For CMU bonds: Citibank N.A. Hong Kong |
| SWIFT-Address (custodian) | For CCASS eligible instruments: SWDRHKH1 For CMU bonds: CITIHKHX |
| Account number UBS with the custodian | CCASS eligible instruments - 2144850800 CMU Bonds - 2368810000 |
| Local Clearing No | For CCASS eligible instruments: CCASS No. B01161 For CMU bonds: CMU Account No. CIHK001 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A 2144850800 |

Hong Kong

Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

The 'Client Identity Rule Policy' authorizes the Securities and Futures Commission and/or the stock exchanges to request information regarding the beneficial owner of a securities transaction.

Therefore, all clients are required to sign a disclosure declaration waiving the banking secrecy prior to investing in the market.

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S-1; 5 p.m. |
| Fixed Income DFP/RFP | S-1; 5 p.m. |
| Equities DVP/RVP | S-1; 5 p.m. |
| Fixed Income DVP/RVP | S-1; 5 p.m. |

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Hungary

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | The Central Depository and Clearing House (KELER), Budapest |
| Local Agent | Citibank Budapest RT. HU-1052 |
| SWIFT-Address (place of safekeeping) | CITIHUHX (KELLER ID: 410) |

Favour of:

| | |
|----------------------|-----------------------------------|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |
|----------------------|-----------------------------------|

7200095001 (Individual Clients NOT Domiciled in Hungary)

7200095006 (Individual Clients Domiciled in Hungary)

7200095005 (Corporate Clients)

Hungary

Market Set-up

Account structure

For tax reasons, securities are held in three different omnibus accounts for the following client categories.

- Corporate clients
- Individual clients not resident in Hungary (natural persons)
- Individual clients resident in Hungary (natural persons)

Bank-clients have to maintain separate custody accounts per investor category with UBS. For each of these accounts, a declaration must be signed confirming that Hungarian securities are held only for the specific investor category.

Disclosure

The identity of individual investors resident in Hungary (natural persons) has to be disclosed prior to dividend payments. Such clients are requested to sign a disclosure declaration.

Investor ID

n/a

Restrictions for Investors

UBS is required to hold securities for individual investors (natural persons) in separate omnibus accounts at its sub-custodian in Hungary. For tax reasons, UBS does not hold Hungarian securities for individual investors (natural persons) at sub-custodians or central depository organizations outside of Hungary.

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S; 4 p.m. |
| Fixed Income DFP/RFP | S; 4 p.m. |
| Equities DVP/RVP | S 10.30 am. |
| Fixed Income DVP/RVP | S 10.30 am |

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India

SETTLEMENT INSTRUCTIONS

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | National Securities Depository Limited (NSDL) and Central Depository Services Ltd. (CDSL) |
| Local Agent | Standard Chartered Bank India |
| SWIFT-Address (place of safekeeping) | SCBLINBBOM |

Favour of:

| | |
|----------------------|--|
| Account in favour of | The contractual relationship with SCB is in the name of UBS Switzerland AG entity and in name of end client. |
|----------------------|--|

India

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.

Investor ID

Corporate investors (i.e., legal entities) and individual investors (i.e., natural persons) have to obtain a Foreign Portfolio Investor (FPI) status.

A renewal of an FPI registration must be completed with a local custodian prior to the expiry of the current FPI certificate.

All FPIs must obtain a Permanent Account Number (PAN) from the Income Tax Department.

Restricted investors

Residents of India, Non-Resident Indians (NRI), Persons of Indian Origin (PIO) and Overseas Corporate Bodies (OCB) are restricted from investing in India.

Specialities

Please note that the opening procedure for segregated accounts is very complex and can take several months.

Additional information

ATTENTION

OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD

Cut-Off Times - Client Deadlines

| | |
|----------------------|------------|
| Equities DFP/RFP | S 8 a.m. |
| Fixed Income DFP/RFP | S 8 a.m. |
| Equities DVP/RVP | S-2 4 p.m. |
| Fixed Income DVP/RVP | S-2 4 p.m. |

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Indonesia

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | PT Kustodian Sentral Efek Indonesia (KSEI), Jakarta |
| Local Agent | Deutsche Bank AG, Jakarta |
| SWIFT-Address (place of safekeeping) | DEUTIDJA |

Favour of:

| | |
|-------------------------------|---|
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 2049584001 |
|-------------------------------|---|

Indonesia

| | |
|---|--|
| Market Set-up | <p>Account structure Equities: omnibus account Fixed income: segregated account per investor</p> <p>Disclosure Disclosure of investors is required when opening segregated custody accounts for holdings in fixed income securities.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Additional information | OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD IF NOT ALLREADY DONE BY THE BROKER |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-1 5.00 p.m. |
| Fixed Income DFP/RFP | S-1; 5.00 p.m. |
| Equities DVP/RVP | S-1 5.00 p.m. |
| Fixed Income DVP/RVP | S-2; 5.00 p.m. |

[Back to top](#)**Ireland****SETTLEMENT INSTRUCTIONS**

| | |
|--|---|
| CSD (Central Securities Depository) | Euroclear UK & Ireland Limited (formerly CREST) |
| Local Agent | BNP Paribas Securities Services, London 4th Floor 55 Moorgate London EC2R 6PA UK SWIFT PARBGB2LXXX |
| SWIFT-Address (place of safekeeping) | PARBGB2LXXX |
| Local Clearing No | CREST ID for equities, bonds and MM ID: KPUAG |
| Custodian | BNP Paribas Securities Services SCA, London Branch |
| SWIFT-Address (custodian) | PARBGB2LXXX |
| Account Number of custodian with local agent | 2288733 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |

Ireland

| | |
|---|--|
| Market Set-up | <p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 3.30 p.m. |
| Fixed Income DFP/RFP | S 4 p.m. Domestic via CRST S 3.30 p.m. Crossborder via ECLR S 9 a.m. Crossborder via CEDE |
| Equities DVP/RVP | S 12 noon |
| Fixed Income DVP/RVP | S 12:30 p.m. |

[Back to top](#)**Israel**

| | |
|--------------------------------------|---|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | Tel Aviv Stock Exchange Clearing House Ltd. (TASE Clearing House), Tel Aviv |
| Local Agent | Bank Leumi Le-Israel BM IL-61000 Tel-Aviv |
| SWIFT-Address (place of safekeeping) | LUMIILITXXX |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 1080043694978 |

Israel

Market Set-up

Account structure

Omnibus account

- Non-residents of Israel holding Israeli securities in Israel (except Short Term Debt Securities and/or Real Estate Investment Trusts (REITS))

Segregated accounts

- Residents of Israel holding Israeli securities in Israel

- Non-residents of Israel holding Short-Term Debt Securities and/or Real Estate Investment Trusts (REITS) in Israel

Exception:

Israeli securities held at a custodian of UBS located OUTSIDE of Israel may be held in omnibus accounts, irrespective of the residency of the investor.

Disclosure

Clients with securities held in a segregated account must disclose their identity. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

Private or corporate clients with their domicile or registered office in countries considered enemy states (currently, Iran, Iraq, Lebanon and Syria) or in countries at risk (currently, Libya and Yemen) are not allowed to invest in Israeli securities.

Specialities

Financial institutions holding Israeli securities on behalf of their clients are required to sign a declaration confirming the domicile of the underlying clients is not one of the restricted countries.

Cut-Off Times - Client Deadlines

| | |
|----------------------|---|
| Equities DFP/RFP | S; 11 a.m. |
| Fixed Income DFP/RFP | S 11.30 a.m S 08.30 a.m. Fridays + Holiday Eve |
| Equities DVP/RVP | S-1; 5 p.m. |
| Fixed Income DVP/RVP | S-1; 5 p.m. |

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Italy

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Monte Titoli (MT), Milan |
| Local Agent | Citibank N.A., Milan |
| SWIFT-Address (place of safekeeping) | CITIITMXXXX |
| Local Clearing No | Monte Titoli Acc No = 63566 Securities Account in T2S = MOTICITIITMXXXX6356600 |

Favour of:

Italy

| | |
|-------------------------------|--|
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 1252059 |
| Market Set-up | <p>Account structure Segregated custody accounts for residents of Italy. Segregated custody accounts for all investors holding substantial positions in equities (i.e., 3% or more of the total number of outstanding shares)</p> <p>Disclosure Residents of Italy and investors with substantial positions must sign a declaration for the opening of a segregated account with UBS's custodian in Italy. The declaration enables UBS to disclose the clients' names.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors UBS is required to open segregated accounts for Italian residents at its sub-custodian in Italy. UBS does not hold Italian securities for Italian residents at sub-custodians or central depository organizations outside of Italy.</p> <p>Specialities n/a</p> |

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S 4 p.m. |
| Fixed Income DFP/RFP | S 4 p.m. |
| Equities DVP/RVP | S 3.15 p.m. |
| Fixed Income DVP/RVP | S 3.15 p.m. |

[Back to top](#)**Japan****SETTLEMENT INSTRUCTIONS**

| | |
|--|--|
| CSD (Central Securities Depository) | JASDEC (Japan Securities Depository Center), Tokyo |
| Local Agent | BANK OF TOKYO-MITSUBISHI JP-TOKYO 103-0021 |
| SWIFT-Address (place of safekeeping) | BOTKJPJTXXX |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZ |
| Account Number of custodian with local agent | 100300300 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A CH100025 |

Japan

Market Set-up

Account structure

Non-residents of Japan or corporate residents of Japan:

- Omnibus account for holding Japanese securities

Individual residents of Japan:

- Separate omnibus account per tax prefecture

Substantial shareholdings:

- Segregated accounts for individual investors (natural persons) holding 3% or more of outstanding shares as they need to be reported as 'large shareholders' in due time because they are subject to a higher withholding tax rate on dividends.

Disclosure

- Individual resident of Japan investing in Japanese securities have to provide their tax prefecture to UBS by signing a declaration.

- Investors holding Japanese ADRs must sign a disclosure declaration to enable UBS to disclose their identity to the US Depository Trust Company (US DTC) for tax purposes.

Investor ID

n/a

Restrictions for Investors

"Samurai Bonds"

Samurai bonds are issued in JPY (Yen) and are traded, held, and settled in Japan. Final beneficial owners that are U.S. persons according to QI-Definition are not allowed to hold or acquire Samurai Bonds issued by U.S. entities in Japan.

"Japanese Eurobonds"

Investors resident in Japan are restricted from holding Japanese Eurobonds.

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S-1; 5 p.m. |
| Fixed Income DFP/RFP | S-1; 5 p.m. |
| Equities DVP/RVP | S-1 5 p.m. |
| Fixed Income DVP/RVP | S-1 5 p.m. |

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Japanese Government Bonds (JGB)

SETTLEMENT INSTRUCTIONS

| | |
|---|--|
| CSD (Central Securities Depository) | JASDEC (Japan Securities Depository Center), Tokyo |
| Local Agent, JGB | The Bank of Tokyo Mitsubishi UFJ, Tokyo |
| SWIFT-Address (place of safekeeping), JGB | BOTKJPJT |
| UBS Account Number with local agent, JGB | 0100430870 |

Japanese Government Bonds (JGB)

Favour of:

| | |
|------------------------------------|------------------------------------|
| Account Number in the name of, JGB | UBS AG FOR UBS AG-JGB TAXABLE ACCT |
|------------------------------------|------------------------------------|

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Latvia

SETTLEMENT INSTRUCTIONS

| | |
|--|---|
| CSD (Central Securities Depository) | Latvian Central Depository (LCD), Riga |
| Local Agent | Nordea Bank Finland, Helsinki |
| SWIFT-Address (place of safekeeping) | Receiving/delivering Agent (Party 1): HABALV22XXX (Swedbank AS, Riga) Client of delivering/receiving CSD Participant (Party 2): HABAE22XXX (Swedbank AS, Tallinn) Safekeeping account (Party 2): 0100161, sub a/c 99102240782 |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZXXX |
| Account Number of custodian with local agent | 2200055524684 |

Favour of:

| | |
|----------------------|---|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A CH100025 |
|----------------------|---|

Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

All clients who want to invest in Latvian securities through UBS Switzerland have to sign a disclosure declaration to waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|-----------|
| Equities DFP/RFP | S 8.00 am |
| Fixed Income DFP/RFP | S 8.00 am |
| Equities DVP/RVP | S 8.00 am |
| Fixed Income DVP/RVP | S 8.00 am |

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Lithuania

Lithuania**SETTLEMENT INSTRUCTIONS**

| | |
|--|--|
| CSD (Central Securities Depository) | Securities Depository of Lithuania (CSDL), Wilna |
| Local Agent | Nordea Bank Finland, Helsinki |
| SWIFT-Address (place of safekeeping) | Receiving/delivering Agent (Party 1): HABALT22XXX (Swedbank AS, Vilnius) Client of delivering/receiving CSD Participant (Party 2): HABAE2XXXX (Swedbank AS, Tallinn) Safekeeping account (Party 2): 3333333333, sub a/c 99102240782 |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZXXX |
| Account Number of custodian with local agent | 2200055524684 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A CH100025 |
| Market Set-up | Account structure All securities are held in an omnibus account. Disclosure All clients who want to invest in Lithuanian Securities have to waive the banking secrecy and sign a disclosure declaration. Investor ID n/a Restrictions for Investors n/a Specialities n/a |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 8.00 am |
| Fixed Income DFP/RFP | S 8.00 am |
| Equities DVP/RVP | S 8.00 am |
| Fixed Income DVP/RVP | S 8.00 am |

[Back to top](#)**Malaysia****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|-----------------------------------|
| CSD (Central Securities Depository) | Bursa Malaysia Depository Sdn Bhd |
| Local Agent | Citibank Berhad |
| SWIFT-Address (place of safekeeping) | CITIMYKLXXX |
| Favour of: | |

Malaysia

| | |
|---|---|
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 5994380038 |
| Market Set-up | <p>Account structure</p> <p>Omnibus account</p> <ul style="list-style-type: none"> - Non-residents/non-nationals of Malaysia holding Malaysian equities (disclosure declaration required) <p>Segregated accounts</p> <ul style="list-style-type: none"> - Non-residents/non-nationals of Malaysia holding Malaysian fixed income securities - Malaysian residents/nationals holding any type of Malaysian securities <p>Disclosure</p> <p>The Securities Commission Malaysia is authorized to request information on the beneficial owners of securities held in an omnibus account at any time. Therefore, all affected clients are required to sign a disclosure declaration to waive the banking secrecy prior to investing in the market.</p> <p>Clients wishing to subscribe a Malaysian IPO must sign a confirmation declaration.</p> <p>Investor ID</p> <p>n/a</p> <p>Restrictions for Investors</p> <p>UBS does not offer the market to residents of Israel.</p> <p>Specialities</p> <p>n/a</p> |
| Additional information | <p>ATTENTION</p> <p>OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-2 9 a.m. |
| Fixed Income DFP/RFP | S-1 11 a.m. |
| Equities DVP/RVP | S-2; 3 p.m. |
| Fixed Income DVP/RVP | S-2; 3 p.m. |

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Mexico**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--------------------------------------|
| CSD (Central Securities Depository) | Contraparte Central de Valores (CCV) |
| Local Agent | Banamex S.A. MX-06695 Mexico, D.F. |
| SWIFT-Address (place of safekeeping) | CITIUS33MER |
| Custodian | Banamex S.A. , Mexico |
| SWIFT-Address (custodian) | CITIUS33MER |
| Favour of: | |

Mexico

| | |
|---|---|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |
| UBS Account Number with Custodian | 226064 Non-National 226066 Nationals (Nat. Mexiko) 729274 Non-Nationals (Money Market) |
| Market Set-up | <p>Account structure</p> <p>Segregated accounts</p> <ul style="list-style-type: none"> - Non-residents of Mexico holding Mexican corporate bonds, banker's acceptance and bond funds - Residents of Mexico holding Mexican government bonds, corporate bonds, banker's acceptance and bond funds - Nationals of Mexico wishing to hold restricted shares and/or profit from preferential proxy voting terms <p>Omnibus account</p> <ul style="list-style-type: none"> - Securities other than those listed under 'segregated accounts' may be held in omnibus accounts (UBS's omnibus account is treated as a non-resident and non-national account in the market) <p>Disclosure</p> <p>Clients with segregated accounts must disclose their identity and are required to waive the banking secrecy.</p> <p>Investor ID</p> <p>n/a</p> <p>Restrictions for Investors</p> <p>There are approximately 20 Mexican ISINs which can only be bought in the original share form by Mexican nationals (irrespective of their domicile) and must be held in disclosed segregated accounts.</p> <p>Non-nationals of Mexico can only buy the respective CPO (Certificado de Participación Ordinaria) which are subject to availability in the market but can be held in UBS's omnibus account.</p> <p>Non-nationals of Mexico are able to invest in restricted shares under special circumstances only.</p> <p>A list of the affected securities is available on UBS's Custody Info Portal under 'Clearing & Settlement' in section 'Securities List' at: Mexican securities with restrictions for foreign investors</p> <p>Specialities</p> <p>n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 5 p.m. |
| Fixed Income DFP/RFP | S 5 p.m. |
| Equities DVP/RVP | S 3 p.m. |
| Fixed Income DVP/RVP | S 3 p.m. |

[Back to top](#)**Morocco****SETTLEMENT INSTRUCTIONS**

| | |
|-------------------------------------|---------------------------------------|
| CSD (Central Securities Depository) | Maroclear, Casablanca |
| Local Agent | Attijariwafa Bank MA-21700 Casablanca |

Morocco

| | |
|---|--|
| SWIFT-Address (place of safekeeping) | BCMAMAMC |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 31665 Free Convertible 8163 Term Convertible |
| Market Set-up | <p>Account structure All securities are held in segregated accounts.</p> <p>Disclosure The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors Moroccan securities must not be held by residents of Morocco via UBS.</p> <p>Specialities UBS currently holds 2 separate accounts ("free convertible" and "term convertible") in the Moroccan market:</p> <p>"Free Convertible Cash-Account" Foreign investors may freely dispose over the full credited cash stock.</p> <p>"Term Convertible Cash-Account" If foreign investors cannot prove that MAD (Moroccan Dirham) has been originally purchased against sale of hard currency (e.g. USD, EUR, CHF) or that securities have originally been acquired through the purchase of MAD against foreign currency, a term convertible MAD cash account is opened to lodge MAD and a securities account is linked to this cash account to safe-keep the subject securities. Term convertible MAD accounts are strictly regulated. An investor can repatriate the sale proceeds of securities only within four years, i.e., 25 per cent of the amount p.a.</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-1; 10 a.m. |
| Fixed Income DFP/RFP | S-1; 10 a.m. |
| Equities DVP/RVP | S-2; 4 p.m. |
| Fixed Income DVP/RVP | S-2; 4 p.m. |

[Back to top](#)**Netherlands****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--------------------------------------|
| CSD (Central Securities Depository) | Euroclear Nederland (ENL), Amsterdam |
| Local Agent | Citibank International Plc. |
| SWIFT-Address (place of safekeeping) | CITTGB2L |
| Custodian | Citibank International Plc. |

Netherlands

| | |
|---|--|
| SWIFT-Address (custodian) | CITTGB2L (settlement) CITTGB2L (asset servicing - instructions via MT565) CITIGB2L (asset servicing - instructions via MT599) |
| Favour of: | |
| Account in favour of | <p>Local agent for ESES-eligible securities: BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0000712656</p> <p>Local agent for NON ESES-eligible securities: BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSCHZH80A in favor of Account No: 0000712656</p> <p>Local sub-agent for non-EUR versus payment settlement: Euroclear Bank BIC: MGTCBEBEECL Euroclear account 11390 in favor of SWIFT BIC: UBSWCHZH80A or CITTGB2L (not matching criteria) in favor of Account No: 0000712656</p> |
| Market Set-up | <p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Additional information | Trades failing due to missing or mismatched instructions will automatically be deleted within Euroclear Netherland 10 business days after the contractual settlement date. Euroclear Netherland does not generally accept settlement dates in the past. However, orders whose settlement date lies no more than 10 business days in the past can still be instructed, provided the counterparty has already placed a suitable counter-order in ESES. |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S; 5.15 pm |
| Fixed Income DFP/RFP | S; 5.15 pm |
| Equities DVP/RVP | S; 3.15 pm |
| Fixed Income DVP/RVP | S; 3.15 pm |

[Back to top](#)**New Zealand**

New Zealand**SETTLEMENT INSTRUCTIONS**

| | |
|--|---|
| CSD (Central Securities Depository) | New Zealand Central Securities Depository Limited (NZCSD), Wellington NZCSD no: CHAM24 |
| Local Agent | J.P. Morgan Chase NZ-WELLINGTON |
| SWIFT-Address (place of safekeeping) | CHASNZ2ACCS |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZ |
| Account Number of custodian with local agent | 1563-0001-11-01 |

Favour of:

| | |
|----------------------|---|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A CH100025 |
|----------------------|---|

Market Set-up**Account structure**

Securities held by non-residents of New Zealand may be safe-kept in an omnibus custody account. Securities held by residents of New Zealand must be safe-kept in segregated custody accounts per client, provided the respective investor type or UBS entity/business are not restricted by the UBS cross-border policy.

Disclosure

Clients with securities held in a segregated account must disclose their identity and waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

Cross-border New Zealand business restricts New Zealand residents from investing in securities through UBS. Please refer to cross-border policy for scope and details.

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|------------|
| Equities DFP/RFP | S-1 5 p.m. |
| Fixed Income DFP/RFP | S-1 5 p.m. |
| Equities DVP/RVP | S-1 3 p.m. |
| Fixed Income DVP/RVP | S-1 3 p.m. |

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Norway**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---------------------------------|
| CSD (Central Securities Depository) | VPS Holding ASA, Oslo |
| Local Agent | Nordea Bank Abp, filial i Norge |
| SWIFT-Address (place of safekeeping) | NDEANOKKXXX |

Norway

| | |
|---|---|
| Custodian | Nordea Bank Abp, filial i Norge |
| SWIFT-Address (custodian) | NDEANOKK |
| Account number UBS with the custodian | UBS Switzerland AG UBSWCHZH80A 060040147971 (UBS Switzerland AG -OMNIBUS-DISCLOSED CLIENTS) |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |
| Market Set-up | <p>Account structure Securities held by Norwegian clients (Norwegian is a person with a) nationality or domicile in Norway or b) company with its headquarters in Norway) are held in segregated accounts. Securities positions for non-Norwegian clients are held in an omnibus account.</p> <p>Disclosure Clients with segregated accounts are required to disclose their identity. These clients have to waive the banking secrecy. Prior to investing in Norway, non-Norwegian clients have to sign a declaration form authorizing UBS to report their names to Norwegian authorities upon request.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 10.30 a.m. |
| Fixed Income DFP/RFP | S 10.30 a.m. |
| Equities DVP/RVP | S 10.30 a.m. |
| Fixed Income DVP/RVP | S 10.30 a.m. |

[Back to top](#)**Peru****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | Caja de Valores y Liquidaciones, Institucion de Compensacion y Liquidacion de Valores (CAVALI ICLV S.A.), Lima |
| Local Agent | Citibank del Peru S.A., Lima |
| SWIFT-Address (place of safekeeping) | CITIUS33LIM |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A Individual account for each client |

Peru

| | |
|---|---|
| Investors ID tied to account | CAVALI Code of client |
| Settlement Currency | Inx in PEN (Nuevo Sol) but our custodian automatically converts the settlement amount into USD. |
| Market Set-up | <p>Account structure All securities must be held in segregated accounts.</p> <p>Disclosure Clients with segregated accounts must disclose their identity. These clients are required to waive the banking secrecy. Peruvian companies with shares listed in Peru are obliged to disclose their shareholders who hold 0,5% or more of the outstanding shares on a quarterly basis to the authority.</p> <p>Investor ID Every client is required to obtain a CAVALI-Code before investing in the market.</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 1 p.m. |
| Fixed Income DFP/RFP | S 1 p.m. |
| Equities DVP/RVP | S; 1 p.m. |
| Fixed Income DVP/RVP | S; 1 p.m. |

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Philippines

| | |
|--------------------------------------|---|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | Philippine Depository and Trust Corporation (PDTC), Makati City |
| Local Agent | Deutsche Bank AG, Manila Branch |
| SWIFT-Address (place of safekeeping) | DEUTPHMM Safekeeping account: 12107154001 - UBS SWITZERLAND AG CLIENT ASSETS |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG |

Philippines

| | |
|---|---|
| Market Set-up | <p>Account structure All securities of non-residents of the Philippines are held in an omnibus account.</p> <p>Disclosure Clients have to disclose their identity and waive the banking secrecy only in case segregated accounts are opened.</p> <p>Investor ID Due to the Exchange Regulations, foreign investments must be registered with the Bangko Sentral ng Pilipinas (BSP). For this purpose, foreign investors (non-residents of the Philippines) are required to obtain a Bangko Sentral Registration Document (BSRD). The BSRD is a license to purchase foreign exchange and repatriate sales proceeds/income through the Philippine banking system. UBS' custodian automatically applies for a BSRD in case of purchases.</p> <p>Restrictions for Investors UBS does not offer the Philippine market to Philippine residents.</p> |
| Additional information | <p>ATTENTION OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD</p> <p>To avoid problems with repatriation when selling, no settlements from third-parties are allowed when the BSRD (Bangko Sentral Registration Document) License is not provided by the custodian of the counterparty.</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-1 5 p.m. |
| Fixed Income DVP/RVP | S-1; 4 p.m. |
| Equities DFP/RFP | S-1 5 p.m. |
| Fixed Income DVP/RVP | S-1; 4 p.m. |

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Poland

| | |
|--|---|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | The National Depository for Securities (NDS), Warsaw |
| Local Agent | Bank PEKAO, Warsaw |
| SWIFT-Address (place of safekeeping) | PKOPPLPWCUS |
| Custodian | Bank PeKAO, Warsaw |
| SWIFT-Address (custodian) | PKOPPLPWCUS |
| Account Number of custodian with local agent | Polish discount bonds: segregated account per client All other instruments: client omnibus account # 5589901 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A Segregation on investor level. See Additional Information and Market Set Up. |

Poland

| | |
|---------------|---|
| Market Set-up | <p>Account structure</p> <p>Omnibus account</p> <ul style="list-style-type: none"> - Investors domiciled in a country with a Double Taxation Treaty (DTT) with Poland - Corporate investors domiciled in Poland <p>Segregated accounts</p> <ul style="list-style-type: none"> - Individual and corporate investors domiciled in a tax haven or a country without a DTT with Poland - Individual investors domiciled in Poland - All investors (irrespective of domicile) holding Polish discount bonds (e.g., Zero Bonds) <p>Disclosure</p> <p>Disclosure of investors holding securities in an omnibus account upon request of the regulator. Disclosure of investors upon opening of a segregated account. All these clients are required to waive the banking secrecy.</p> <p>Investor ID</p> <p>n/a</p> <p>Restrictions for Investors</p> <p>n/a</p> <p>Specialities</p> <p>n/a</p> |
|---------------|---|

Cut-Off Times - Client Deadlines

| | |
|----------------------|----------|
| Equities DFP/RFP | S 4 p.m. |
| Fixed Income DFP/RFP | S 4 p.m. |
| Equities DVP/RVP | S 8 am |
| Fixed Income DVP/RVP | S 8 am |

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Portugal

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Central de Valores Mobiliários, Porto |
| Local Agent | Citibank International Lisboa Portugal |
| SWIFT-Address (place of safekeeping) | CITIPTPXXXX |
| Local Clearing No | CVM Code 528 |
| Investors ID tied to account | CVM Code 528 (Citibanks Code) |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 7002140280 |

Portugal

Market Set-up

Account structure

Omnibus account
- Non-residents of Portugal

Segregated accounts
- Residents of Portugal

Disclosure

Clients with segregated accounts must disclose their identity and are required to waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

- UBS is required to open segregated accounts for Portuguese residents at its sub-custodian in Portugal.

UBS does not hold Portuguese securities for Portuguese residents at sub-custodians or central depository organizations outside of Portugal

- Residents of Portugal or a tax haven must not hold Portuguese Securitization Bonds at Clearstream

A list of Portuguese Securitization Bonds is available on Clearstream's internet page at: [Clearstream Securities Database](#)

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|------------|
| Equities DFP/RFP | S 4 p.m. |
| Fixed Income DFP/RFP | S 4 p.m. |
| Equities DVP/RVP | S; 1.30 pm |
| Fixed Income DVP/RVP | S; 1.30 pm |

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Qatar

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Central Registration Department (CRD), Doha (CRD functions as a quasi depository, it is an integral part of the Qatar Exchange, QE) |
| Local Agent | HSBC Bank Middle East Limited, Doha |
| SWIFT-Address (place of safekeeping) | BBMEQAQX |

Favour of:

| | |
|-------------------------------|--|
| Account Number in the name of | Segregation on BO Level - no Omnibus Account Available |
| Investors ID tied to account | National Investor Number (NIN) per investor |

Qatar

| | |
|---|--|
| Market Set-up | <p>Account structure All securities must be held in segregated accounts per investor.</p> <p>Disclosure The opening of segregated accounts requires the disclosure of the clients' identity. Affected clients must waive the Swiss banking secrecy by signing a disclosure declaration.</p> <p>Investor ID Each investor needs a National Investor Number (NIN) from the Central Registration Department of the Qatar Exchange. UBS' local custodian will obtain the NIN for UBS' clients.</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Additional information | <p>Bei Titel Verkäufen müssen diese mittels MT599 Vorgängig vom Custody Account in's Trading Account transferiert werden.</p> <p>Quote Movement of securities from the Custody Account to the Trading Account can be advised on a case-by-case basis. Instructions should be received latest by 7.30 Qatar local time on T if the client intends to sell stocks on T. In case stocks are not sold and the client wishes to transfer the same back to the Custody Account, specific instructions would again need to be provided to HSBC. Instructions received by 13:00 Qatar local time would be executed on the same day.</p> <p>No standing instructions will be required for this option but the client will need to be aware of the said deadline and the need of specific instructions for transferring the securities back. Unquote</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DVP/RVP | S-1 8 a.m. |
| Fixed Income DVP/RVP | S-1 8 a.m. |

[Back to top](#)**Romania****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | <p>Equities and Corporate Bonds: Depozitarul Central S.A. (DECNROBU)</p> <p>Government Bonds: National Bank of Romania (NBORROBB)</p> |
| Local Agent | Raiffeisen Bank International AG |
| SWIFT-Address (place of safekeeping) | RZBAATWW |
| Custodian | Raiffeisen Bank International AG, Vienna |
| SWIFT-Address (custodian) | RZBAATWW |
| Favour of: | |
| Account in favour of | Segregated market. For each underlying beneficial owner an account in their name must be opened at UBS' custodian Raiffeisen Bank International AG. |

Romania

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of a segregated account requires disclosure of the client's identity. Such clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|----------|
| Equities DVP/RVP | S 8 a.m. |
| Fixed Income DVP/RVP | S 8 a.m. |

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Russia

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | National Settlement Depository (NSD), Moscow: for bonds and equities JSC VTB Bank, Moscow: for MinFin |
| Local Agent | AO Citibank, Moscow |
| SWIFT-Address (place of safekeeping) | CITIRUMX |
| Local Clearing No | NSD No - ML9512090662/000000000000000000 NSD Depot - MC0018600000 |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 4204000001 |

Russia

| | |
|---|---|
| Market Set-up | <p>Account structure All securities are held in an omnibus account. The account holder is considered beneficial owner.</p> <p>Disclosure Clients holding depository receipts (ADR/GDR) with Russian underlying must disclose their identity and are required to waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities In the market Russia beneficial ownership is determined by registration. Therefore, UBS can not offer proxy voting services. Investors who wish to exercise their voting rights must open an account directly with the local custodian/depository.</p> |
| Additional information | <p>Please note: Settlement in Russia only versus USD and separately from the securities delivery</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 1 p.m. |
| Fixed Income DFP/RFP | S 1 p.m. |
| Equities DVP/RVP | DVP / RVP is not applicable in Russia. All securities trades must be free of payment. Stock exchange trades must be effected as Free of Payment. Payment follows separately in USD |
| Fixed Income DVP/RVP | DVP / RVP is not applicable in Russia. All securities trades must be free of payment. Stock exchange trades must be effected as Free of Payment. Payment follows separately in USD. |

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Saudi Arabia

| | |
|--------------------------------------|--|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | Tadawul (a department of SAMA that operates and regulates the security market) |
| Local Agent | The Saudi British Bank (SABB), Riyadh |
| SWIFT-Address (place of safekeeping) | SABBSARIXXX |

Saudi Arabia

Market Set-up

Account structure

All securities must be held in segregated accounts per investor.

Disclosure

The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the Swiss banking secrecy.

Investor ID

Each investor needs a National Investor Number (NIN) from the Saudi Arabian Stock Exchange (Tadawul) prior to investing in the market. UBS's local custodian will obtain the NIN for UBS's clients.

Non-Gulf Cooperation Council (Non-GCC) investors must become Qualified Foreign Investors (QFIs) to invest in Saudi Arabia. An applicant for a QFI status must be a non-GCC bank, brokerage or securities firm, fund manager or insurance company. The applicant must be licensed in a jurisdiction that applies regulatory and monitoring standards equivalent to those of the Capital Market Authority (CMA) or otherwise acceptable to the CMA. The applicant must also meet the AUM and investment requirements.

Per January 2019, the GCC consists of Bahrain, Kuwait, Oman, Qatar, the United Arab Emirates and Saudi Arabia.

Restrictions for Investors

Foreign investors who are not qualifying for QFI status are restricted from investing in Saudi Arabian listed securities. Non-QFI foreign investors may invest in mutual funds and swaps only.

Legal ambiguity and risk

Conclusive interpretation of the applicable law is not possible in Saudi Arabia. There is no system of binding precedents. New regulations on insolvency laws are untested for banks so far. Impacts on investors are unclear if local custodian goes bankrupt.

There has been ambiguous application of taxation under the laws of Saudi Arabia and risk of back-dated taxation remains. If a Saudi Arabian company, withholding agent or tax authority applies a withholding tax retroactively or demands a tax payment for the past, UBS may, upon being charged such a deduction or receiving such a demand by a financial intermediary, demand immediate reimbursement of such tax payments by the client and may charge such tax to the client's account.

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|----------------|
| Equities DVP/RVP | in progress |
| Fixed Income DVP/RVP | S-1 11.00 a.m. |

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Serbia

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | Central Registrar and Central Depository for Securities (CSDCCP), Belgrade |
| Local Agent | Uni Credit Bank Serbia JSC Belgrade |
| SWIFT-Address (place of safekeeping) | BACXRSBG |
| Custodian | UniCredit Bank Austria AG |
| SWIFT-Address (custodian) | BKAUATWW |

Serbia

| | |
|--|---|
| Account Number of custodian with local agent | 1001 999 00 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A 0001-57404/11 |
| Market Set-up | Account structure All securities are held in an omnibus account. Disclosure n/a Investor ID n/a Restrictions for Investors n/a Specialities n/a |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-2; 9 a.m. |
| Fixed Income DFP/RFP | S-2; 9 a.m. |
| Equities DVP/RVP | S-3; 5 p.m. |
| Fixed Income DVP/RVP | S-3; 5 p.m. |

[Back to top](#)**Singapore**

| | |
|--------------------------------------|---|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | Central Depository (Pte) Ltd (CDP), Singapore |
| Local Agent | CITIBANK, N.A. SG-SINGAPORE 049481 |
| SWIFT-Address (place of safekeeping) | CITISGSG |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 8184303001 |

Singapore

Market Set-up

Account structure

Securities held by Singaporean nationals and/or residents have to be segregated.
All other holdings are held in an omnibus account.

Disclosure

The opening of segregated accounts requires disclosure of the client's identity. These clients must waive the banking secrecy.

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Furthermore, clients wishing to subscribe a Singaporean IPO must sign a confirmation declaration.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|---------------|
| Equities DFP/RFP | S-1; 5 p.m. |
| Fixed Income DFP/RFP | S-1; 5 p.m. |
| Equities DVP/RVP | S-1 2.30 p.m. |
| Fixed Income DVP/RVP | S-1 2.30 p.m. |

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Slovakia

SETTLEMENT INSTRUCTIONS

| | |
|--|---|
| CSD (Central Securities Depository) | Central Securities Depository of the Slovak Republic (CDCP), Bratislava |
| Local Agent | UniCredit Bank Czech Republic and Slovakia, a.s. |
| SWIFT-Address (place of safekeeping) | CSDSSKBAXXX |
| Custodian | UniCredit Bank Austria AG |
| SWIFT-Address (custodian) | BKAUATWW |
| Account Number of custodian with local agent | 700200666897 |

Favour of:

| | |
|----------------------|--|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A 0001-57404/12 |
|----------------------|--|

Slovakia

| | |
|---|---|
| Market Set-up | <p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-1; 10 a.m. |
| Fixed Income DFP/RFP | S; 1 p.m. |
| Equities DVP/RVP | S-1 9 am |
| Fixed Income DVP/RVP | S-1 9 am |

[Back to top](#)**Slovenia**

| | |
|--|---|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | Centralna klirinsko depotna druzba d.d. (KDD), Ljubljana |
| Local Agent | UniCredit Banka Slovenija d.d., Ljubljana |
| SWIFT-Address (place of safekeeping) | BACXSI22 |
| Custodian | UniCredit Bank Austria AG |
| SWIFT-Address (custodian) | BKAUATWW |
| Account Number of custodian with local agent | 33604009 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG UBSWCHZH80A 00015740414 Ref: Slovenia |

Slovenia

| | |
|---------------|---|
| Market Set-up | <p>Account structure All securities must be held in segregated accounts. Slovenian government bonds held at CBL are exempt from this rule.</p> <p>Disclosure Clients with segregated accounts are required to disclose their identity. These clients have to waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
|---------------|---|

Cut-Off Times - Client Deadlines

| | |
|----------------------|--------------|
| Equities DFP/RFP | S-1; 10 a.m. |
| Fixed Income DFP/RFP | S-1; 10 a.m. |
| Equities DVP/RVP | S-2; 5 p.m. |
| Fixed Income DVP/RVP | S-2; 5 p.m. |

[Back to top](#)**South Africa****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--|
| CSD (Central Securities Depository) | STRATE Limited, Sandton |
| Local Agent | FNB (a division of FirstRand Bank Limited), Johannesburg |
| SWIFT-Address (place of safekeeping) | FIRNZAJJXXX |
| Custodian | FNB (a division of FirstRand Bank Limited) |
| SWIFT-Address (custodian) | FIRNZAJJ896 |
| UBS Account Number with Custodian | ZA0000048186 |
| Favour of: | |
| Account in favour of | UBS Switzerland AG |

South Africa

| | |
|---|--|
| Market Set-up | <p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S-1 9 a.m. off market S-2 5 p.m. on exchange |
| Fixed Income DFP/RFP | S-1 4 p.m. |
| Equities DVP/RVP | S-1 8 a.m. |
| Fixed Income DVP/RVP | S-1 3 p.m. |

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South Korea

| | |
|--------------------------------------|--|
| SETTLEMENT INSTRUCTIONS | |
| CSD (Central Securities Depository) | Korea Securities Depository (KSD), Seoul |
| Local Agent | Standard Chartered First Bank Korea Limited |
| SWIFT-Address (place of safekeeping) | SCBLKRSE |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A |
| Investors ID tied to account | IRC 32715 for UBS' individual clients (natural persons) who are under a discretionary mandate with UBS (i.e., UBS makes the investment decision). UBS' corporate clients (legal entities) clients must use their own IRC. |

South Korea

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|----------------------------------|--|
| Market Set-up | <p>Account structure</p> <p>Corporate investors (legal entities / funds)</p> <ul style="list-style-type: none"> - Segregated accounts <p>Individual investors (natural persons) - discretionary clients</p> <ul style="list-style-type: none"> - Omnibus account for discretionary clients of UBS (i.e., clients for whom UBS makes the investment decision) <p>Disclosure</p> <p>Corporate investors (legal entities) or funds must invest through their own investor ID (IRC). Their details will be disclosed to the custodian bank(s), broker(s) and the competent authority in the application process for a sub-account and IRC.</p> <p>Individual investors (natural persons) under a discretionary mandate with UBS (i.e., UBS makes the investment decision) may invest through UBS's omnibus account and "Customer Segregated Account Investment Registration Certificate" (CSA IRC). UBS must at all times be in a position to disclose beneficiary details upon request of a competent authority, custodian bank(s) or broker(s) involved. Consequently, discretionary individual clients (natural persons) are required to waive the banking secrecy by signing a disclosure agreement prior to investing in the market.</p> <p>Individual investors (natural persons) who are non-discretionary (advisory/execution-only) clients of UBS (i.e., the client makes the investment decision) may NOT invest in the South Korean market through UBS.</p> <p>Investor ID</p> <ul style="list-style-type: none"> - Corporate investors (legal entities) or funds* must use their own investor ID (IRC) to invest in South Korean securities. - Discretionary individual investors (natural persons for whom UBS makes the investment decision) may use UBS's investor ID (CSA IRC) to invest in South Korean securities. - Non-discretionary (advisory/execution-only) individual investors (natural persons who make their own investment decision) may NOT invest in the South Korean market through UBS. <p>*A fund can open an IRC by using one of the below two naming conventions</p> <ul style="list-style-type: none"> - "Fund Management Company on behalf of Fund XY" - "Fund XY" <p>In the first case, a Certificate of Incorporation (COI) of the fund management company is required. In the second case, the name of the fund management company can be hidden in the IRC name under the condition that there are supporting documents showing local authorities have approved the establishment of the fund.</p> <p>Restrictions for Investors</p> <ul style="list-style-type: none"> - Residents and nationals of South Korea are not allowed to invest in South Korean securities through a bank located outside of South Korea (e.g. UBS). - Non-discretionary (advisory/execution-only) individual investors (natural persons who make their own investment decision) may NOT invest in the South Korean market through UBS. <p>Specialities</p> <p>n/a</p> |
| Additional information | <p>ATTENTION</p> <p>OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD if FX is not already done by the Broker</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | Free of payment transactions between different account holders is not possible |

South Korea

| | |
|----------------------|--|
| Fixed Income DFP/RFP | Free of payment transactions between different account holders is not possible |
| Equities DVP/RVP | S-1; 3 p.m. |
| Fixed Income DVP/RVP | S-1; 3 p.m. |

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Spain**SETTLEMENT INSTRUCTIONS**

| | |
|-------------------------------------|-------------------|
| CSD (Central Securities Depository) | Iberclear, Madrid |
| Local Agent | Citibank Madrid |

Favour of:

| | |
|--------------------------------------|---|
| SWIFT-Address (place of safekeeping) | CITIESMXXX |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 4428290000 |

Market Set-up**Account structure**

Most securities are held in an omnibus account.

Proxy voting only allowed through segregated accounts in the name of the end-clients

Disclosure

Disclosure declarations to waive the banking secrecy must be signed by (i) Spanish residents who want to invest in Spanish domestic bonds and (ii) all investors who want to exercise their voting rights (proxy voting).

Investor ID

n/a

Restriction for Investors

UBS does not hold Spanish stripped public debt securities and zero-coupon corporate debt securities for individual Spanish residents at sub-custodians outside of Spain (unless allowed by the sub-custodian and if correct taxation is applied).

Specialities

n/a

Additional information

UBS will not initialize re-registration for deliveries "BENE/NBEN"
but will re-register receipts automatically "BENE/YBEN"

Receipts:

UBS will receive securities registered in the CP's name and coordinate thereafter the compra venta (re-registration) with our custodian, Citi Madrid: (TAG 22F::BENE/YBEN)

The securities safe-kept in the main custody account (omnibus account) at Citi on behalf of UBS Switzerland AG will be registered in the name of UBS Switzerland AG.

Note that UBS Switzerland AG's registration BIC code at IBERCLEAR is UBSWCHZH80A.

Cut-Off Times - Client Deadlines

| | |
|------------------|-----------|
| Equities DFP/RFP | S 5.15 pm |
|------------------|-----------|

Spain

| | |
|----------------------|-----------|
| Fixed Income DFP/RFP | S 5.15 pm |
| Equities DVP/RVP | S 3.15 pm |
| Fixed Income DVP/RVP | S 3.15 pm |

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Sri Lanka**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Central Depository Systems (Pvt) Ltd., Colombo |
| Local Agent | Hongkong & Shanghai Banking Corp. (HSBC), Colombo |
| SWIFT-Address (place of safekeeping) | HSBCLKLX |

Favour of:

| | |
|-------------------------------|--|
| Account Number in the name of | UBS AG, Zurich UBSWCHZH80A 0001-199512-281 |
| Investors ID tied to account | 1722 FC in the name of UBS AG, Zurich |

Market Set-up**Account structure**

All Sri Lankan securities must be held in segregated accounts per investor.

Disclosure

The opening of segregated accounts requires the disclosure of the investor's identity and further details which will be disclosed to the custodian bank(s), broker(s), other involved parties and competent authorities. Affected investors are required to waive the Swiss banking secrecy.

Investor ID

n/a

Restrictions for Investors

The following investors are not permitted to invest in Sri Lankan securities through UBS:

- a) corporated investors incorporated/registered in Sri Lanka
- b) individual nationals of Sri Lanka domiciled in Sri Lanka

Specialities

Please note that the opening procedure for segregated accounts is very complex and can take several months.

Additional information**ATTENTION**

OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD IF NOT ALLREADY DONE BY THE BROKER

Cut-Off Times - Client Deadlines

| | |
|----------------------|---|
| Equities DFP/RFP | Free of payment transactions between different account holders is not possible |
| Fixed Income DFP/RFP | Free of payment transactions between different account holders is not possible |
| Equities DVP/RVP | Receive versus payment (RVP) S-2; 3 p.m. Delivery versus payment (DVP) S-3; 3 p.m. |
| Fixed Income DVP/RVP | S-1; 9 a.m. |

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Sweden**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Euroclear Sweden AB |
| Local Agent | SKANDINAVISKA ENSKILDA BANKEN SE-106 40 STOCKHOLM |
| SWIFT-Address (place of safekeeping) | ESSESESS |
| Custodian | SEB |
| SWIFT-Address (custodian) | ESSESESSXXX |
| UBS Account Number with Custodian | 01-100 201 645 |

Favour of:

| | |
|----------------------|-----------------------------------|
| Account in favour of | UBS Switzerland AG UBSWCHZH80A |
|----------------------|-----------------------------------|

Market Set-up**Account structure**

All securities are held in an omnibus account.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S 3.30 p.m. |
| Fixed Income DFP/RFP | S 8.30 a.m. |
| Equities DVP/RVP | S 12 noon |
| Fixed Income DVP/RVP | S 8 am |

[Back to top](#)**Switzerland****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|--------------------|
| CSD (Central Securities Depository) | SIX SIS AG, Zurich |
| Local Agent | UBSWCHZH80A |
| SWIFT-Address (place of safekeeping) | INSECHZZXXX |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZXXX |

Favour of:

| | |
|-------------------|----------------|
| Local Clearing No | BP-ID CH100025 |
|-------------------|----------------|

Switzerland

| | |
|---|---|
| Market Set-up | <p>Account structure According to the requirements of the Swiss Financial Market Infrastructure Act (FMIA) and as a direct participant of the Swiss CSD SIX SIS Ltd, UBS Switzerland AG separates its proprietary holdings from its clients' holdings by maintaining separate accounts.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | <p>S 9 p.m. STP</p> <p>S 5 p.m. non STP</p> <p>S 3:30 p.m. Settlement via SIX SIS AG for Crest-Link (Swiss securities traded in UK)</p> <p>S 11 a.m. Settlement via SIX SIS AG for Clearstream Banking Frankfurt (Swiss securities traded in Germany)</p> <p>S 5 p.m. via T2S</p> |
| Fixed Income DFP/RFP | <p>S 9 p.m. STP</p> <p>S 5 p.m. non STP</p> <p>S 3:30 p.m. Settlement via SIS AG for Crest-Link (Swiss securities traded in UK)</p> <p>S 11 a.m. Settlement via SIS AG for Clearstream Banking Frankfurt (Swiss securities traded in Germany)</p> <p>S 5 p.m. via T2S</p> |
| Equities DVP/RVP | <p>S 4 p.m. (CHF)</p> <p>S 3.15 p.m. (EUR)</p> <p>S 5.15 p.m. (USD)</p> <p>S 2.45 p.m. (GBP)</p> <p>S-1 5 p.m. (JPY)</p> <p>S 3.15 p.m. via T2S</p> |

Switzerland

| | |
|----------------------|---------------------|
| Fixed Income DVP/RVP | S 4 p.m. (CHF) |
| | S 3.15 p.m. (EUR) |
| | S 4 p.m. (USD) |
| | S 3.15 p.m. via T2S |

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Taiwan

SETTLEMENT INSTRUCTIONS

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Taiwan Depository and Clearing Corporation (TDCC), Taipei |
| Local Agent | HSBC Bank (Taiwan) Limited, Taipei |
| SWIFT-Address (place of safekeeping) | HSBCTWTP |

Favour of:

| | |
|-------------------------------|---|
| Account Number in the name of | Account number in the name of each individual FINI (Foreign Institutional Investor) |
| Investors ID tied to account | Separate FINI ID (Foreign Institutional Investor) for each investor |

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of segregated account requires the disclosure of the client's identity. These clients must waive the banking secrecy.

Investor ID

Institutional clients investing in Taiwan have to request a FINI ID (Foreign Institutional Investor Identification) in the market.

Restrictions for Investors

The Taiwanese market is closed for:

- Foreign individual investors
- Chinese nationals, residents and entities
- Taiwanese nationals, residents and entities
- All investors having their source of funds in China or Taiwan

Specialities

Complex documentation required for opening FINI/sub-account. Additionally, it is mandatory for a FINI to assign a Taiwanese tax guarantor who is responsible for the transaction/tax reporting towards the Taiwanese authorities.

| | |
|------------------------|------------------|
| Additional information | Full segregation |
|------------------------|------------------|

Cut-Off Times - Client Deadlines

| | |
|----------------------|-------------|
| Equities DFP/RFP | S-1 11 a.m. |
| Fixed Income DFP/RFP | S-1 11 a.m. |
| Equities DVP/RVP | S-1 9 a.m. |
| Fixed Income DVP/RVP | S-1; 3 p.m. |

[Back to top](#)**Thailand****SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|---|
| CSD (Central Securities Depository) | Thailand Securities Depository Co. Ltd. (TSD), Bangkok |
| Local Agent | Citibank Bangkok Bangkok |
| Local Clearing No | TSD account number = 301 (This is Citibank Bangkok's client omnibus account number at the TSD) |
| SWIFT-Address (place of safekeeping) | CITITHBX |
| Favour of: | |
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A 8202827509 |
| Settlement Currency | THB (Baht) DVP USD (US Dollar) FOP OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD if not already done by the broker |
| Market Set-up | <p>Account structure</p> <p>Omnibus account</p> <ul style="list-style-type: none"> - All eligible investors holding Thai equities <p>Segregated accounts</p> <ul style="list-style-type: none"> - All eligible investors holding fixed income securities <p>Disclosure</p> <p>The Bank of Thailand (BOT) requests local custodians to disclose beneficial owners holding fixed income securities which have been issued in Thailand on a monthly basis.</p> <p>Thai fixed income securities held with a custodian outside of Thailand are in scope of beneficial owner reporting to the BOT if the offshore custodian holds such securities through a local custodian in Thailand.</p> <p>Investor ID</p> <p>n/a</p> <p>Restrictions for Investors</p> <p>Residents of Thailand may only invest in Thailand through UBS Hong Kong, UBS Singapore and a dedicated Thai desk at UBS Switzerland.</p> <p>General</p> <p>Local custodians will automatically convert local shares into "F" shares (Foreign shares) as foreigners are not supposed to hold local shares.</p> <p>Foreigners who hold foreign shares will be eligible for dividends, right issues & warrants and voting rights.</p> <p>Additionally, the automatic exchange from local shares into "F" shares may be blocked in case the "F" share quota is exhausted. In this case, corporate events and dividend rights may be lost for foreigners.</p> |
| Additional information | <p>ATTENTION</p> <p>LOCAL CUSTODIAN OF UBS ZURICH AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD IF NOT ALREADY DONE BY THE BROKER</p> |

Cut-Off Times - Client Deadlines

Thailand

| | |
|----------------------|-------------|
| Equities DFP/RFP | S-1 5 p.m. |
| Fixed Income DFP/RFP | S-1 5 p.m. |
| Equities DVP/RVP | S-1; 3 p.m. |
| Fixed Income DVP/RVP | S-1; 3 p.m. |

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Turkey**SETTLEMENT INSTRUCTIONS**

| | |
|--------------------------------------|------------------------------|
| CSD (Central Securities Depository) | Takasbank, Istanbul |
| Local Agent | Deutsche Bank A.S., Istanbul |
| SWIFT-Address (place of safekeeping) | DEUTTRISCUS |

Favour of:

| | |
|-------------------------------|---|
| Account Number in the name of | UBS Switzerland AG UBSWCHZH80A Individual account for each client |
|-------------------------------|---|

| | |
|---------------|--|
| Market Set-up | <p>Account structure All securities are held in segregated accounts.</p> <p>Disclosure The opening of segregated accounts requires disclosure of the clients identity. These clients must waive the banking secrecy.</p> <p>Investor ID Each client needs an individual tax ID.</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p> |
|---------------|--|

Cut-Off Times - Client Deadlines

| | |
|----------------------|--|
| Equities DFP/RFP | S 3.30 p.m. OTC S 1.30 p.m. On Exchange |
| Fixed Income DFP/RFP | S 3 p.m. OTC S 2 p.m. On Exchange |
| Equities DVP/RVP | S 9 a.m. |
| Fixed Income DVP/RVP | S 9 a.m. |

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United Arab Emirates**SETTLEMENT INSTRUCTIONS**

United Arab Emirates

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|--|--|
| CSD (Central Securities Depository) | <p>NASDAQ Dubai DFM is the CSD for the majority of the Nasdaq Dubai traded shares. Only a limited number of international stocks is directly held at ICSD level</p> <p>ADX The Clearing and Settlement, Depositing and Registration (CSDR) department of the ADX is responsible to perform clearing, settlement, custody and registration of securities traded on the ADX.</p> <p>DFM The DFM operates the Clearing, Depository and Settlement (CDS) system. CDS processes the clearing and settlement of securities traded on the DFM and also manages the share books of public companies listed at the DFM.</p> |
| Local Agent | <p>NASDAQ Dubai Deutsche Bank AG, Amsterdam</p> <p>ADX/DFM Standard Chartered Bank, Dubai</p> |
| SWIFT-Address (place of safekeeping) | <p>NASDAQ Dubai CEDELULL (CBL for NASDAQ Dubai)</p> <p>ADX/DFM DFMTAEA1 - DUBAI FINANCIAL MARKET, PJSC and ADSEAEA1 - ABU DHABI SECURITIES MARKET</p> |
| Favour of: | |
| Account Number of Sub-custodian with Local Agent | <p>NASDAQ Dubai CBL via Deutsche Bank Amsterdam: 265305500 Deutsche Bank, Amsterdam via Deutsche Bank, Dubai; NASDAQ Dubai: 10029</p> <p>ADX/DFM ADX / DFM are investor segregated markets, meaning each investor will have their own account (Custodian is Standard Chartered Bank, UAE)</p> |
| Market Set-up | <p>Account structure All securities must be held in segregated accounts per investor and per market (DFM and ADX).</p> <p>As an exception, securities traded on NASDAQ Dubai may be held in an omnibus account (e.g, UBS' omnibus account with Clearstream Luxembourg). For this set-up, no client disclosure is required.</p> <p>Disclosure The opening of segregated accounts requires the disclosure of the clients' identity. Affected clients must waive the Swiss banking secrecy by signing a disclosure declaration.</p> <p>Investor ID Each investor needs a National Investor Number (NIN) from Dubai Financial Market (DFM) or Abu Dhabi Securities Exchange (ADX) prior to investing in the United Arab Emirates (UAE). UBS' local custodian will obtain the NIN for UBS' clients.</p> <p>Specialities n/a</p> |

Cut-Off Times - Client Deadlines

United Arab Emirates

| | |
|----------------------|--|
| Equities DFP/RFP | NASDAQ Dubai S 11 a.m. (NASDAQ Dubai via CBL) |
| | ADX/DFM n/a |
| Equities DVP/RVP | NASDAQ Dubai S 09.00 a.m. (NASDAQ Dubai via CBL) |
| | ADX/DFM S-2 5 pm |
| Fixed Income DVP/RVP | NASDAQ Dubai S 09.00 a.m. (NASDAQ Dubai via CBL) |
| | ADX/DFM S-2 5 pm. |

[Back to top](#)**United Kingdom****SETTLEMENT INSTRUCTIONS**

| | |
|--|---|
| CSD (Central Securities Depository) | Euroclear UK & Ireland Limited (Formerly CRESTCo) is the central depository for most equities and fixed interest securities. Euroclear UK & Ireland Ltd. is also responsible for the Central Moneymarkets Office (CMO), the settlement system for money market instruments. Euroclear UK & Ireland Ltd. now provides depository and settlement services for instruments formerly held and settled through the Central Gilts Office and CMO. |
| Local Agent | BNP Paribas Securities Services London EC2R 6PA |
| SWIFT-Address (place of safekeeping) | PARBGB2LXXX |
| Local Clearing No | CREST ID: KPUAG |
| Custodian | BNP Paribas Securities Services SCA, London Branch |
| SWIFT-Address (custodian) | PARBGB2LXXX |
| Account Number of custodian with local agent | BNP Omnibus ordinary: 2288700 BNP Re-investment: 2288712 |
| Favour of: | |
| Safekeeping Account Number in the name of | UBS Switzerland AG UBSWCHZH80A |

United Kingdom

Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Cut-Off Times - Client Deadlines

| | |
|----------------------|----------|
| Equities DFP/RFP | S 5 p.m. |
| Fixed Income DFP/RFP | S 5 p.m. |
| Equities DVP/RVP | S 2 p.m. |
| Fixed Income DVP/RVP | S 2 p.m. |

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United States of America**SETTLEMENT INSTRUCTIONS**

| | |
|--|---|
| CSD (Central Securities Depository) | Depository Trust Company (DTC), New York Federal Reserve Bank |
| Local Agent | Citibank N.A, New York Branch 111 Wall Street NEW YORK, NY 10043 |
| SWIFT-Address (place of safekeeping) | CITIUS33XXX |
| Local Clearing No | DTC DTC 00908 Agent ID. 27603 Fedwire for Treasury Notes & Bonds Fed. No. ABA 021000089 Fedwire Account Name: CUST |
| Custodian | SIX SIS AG, Zurich |
| SWIFT-Address (custodian) | INSECHZZXXX |
| Account Number of custodian with local agent | 217217 Main A/C 217218 Restricted A/C 217219 Reinvest A/C 219904 W9 A/C 219700 Partnership A/C 212724 Low Price Stocks A/C |
| Favour of: | |

United States of America

| | |
|---|--|
| Account Number in the name of | UBS Switzerland AG, Zurich UBSWCHZH80A ID 63535 CH100025 |
| Market Set-up | <p>Account structure Generally, all securities are held in omnibus accounts Segregated accounts are required for US LP's and US LLC's (see 'Specialities')</p> <p>Disclosure Japanese resident individuals invested in American Depository Receipts with Japanese underlyings have to be disclosed upon request by DTC. These clients must waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors Restricted Shares : Private Placements lead to restricted shares which are blocked for a certain time until they are permitted for free trading. Usually, restricted shares are issued physically and held directly at the custodian. It must be considered that lifting restrictions on securities takes time and that this process does not occur automatically but has to be requested individually. At the same time, since securities are registered in the name of a third party, it is not guaranteed that UBS will be informed about upcoming dividend payments or corporate action events. For more information please contact the Account Manager.</p> <p>"OFAC-Restrictions" The Office of Foreign Assets Control (OFAC) is an authority that belongs to the US Treasury. It is based on the American foreign policy that imposes economic sanctions against several countries. The OFAC publishes a list with names of 'blocked natural and judicial persons' and several countries over which the US imposed sanctions. Securities from these countries are blocked at our custodian. The lifting of the sanction can take several years. The securities can neither be transferred to another custodian nor delivered physically. Website: OFAC</p> <p>Specialities UBS accepts US Limited Partnerships (LP's) and US Limited Liability Companies (LLC's) as far as they are approved by Tax Advisory Department. The beneficial owner has to disclose his identity and open a segregated account in his name, since LLC's & LP's are bound to liabilities which cannot be assumed by UBS.</p> |
| Additional information | <p>Max. nominal value per trade is USD 50 million</p> <p>Investors in US Limited Partnerships must sign a disclosure declaration and open a segregated custody account at UBS' local custodian. There are certain tax responsibilities for such an investment as well</p> |
| Cut-Off Times - Client Deadlines | |
| Equities DFP/RFP | S 5.15 p.m. |
| Fixed Income DFP/RFP | S 5.15 p.m. |
| Equities DVP/RVP | S 5.15 p.m. |
| Fixed Income DVP/RVP | S 5.15 p.m. |

[Back to top](#)**U.S. Treasury Bills, TB****SETTLEMENT INSTRUCTIONS**

| | |
|---|---|
| CSD (Central Securities Depository), TB | Federal Reserve Bank |
| Local Agent, TB | JP MorganChase Bank, New York |
| SWIFT-Address (place of safekeeping), TB | CHASUS33 |
| Local Clearing No, TB | Fed. No. ABA 021000021 Fedwire Account Name: CUST DTC Participant No. 00902 Agent Bank No. 00902 |
| Favour of: | |
| Account Number in the name of, TB | UBS Switzerland AG UBSWCHZH80A S 97708 |
| Additional information, TB | Max. nominal value per trade is USD 50 million |
| Cut-Off Times - U.S. Treasury Bills (TB) | |
| Equities DFP/RFP, TB | S 5.15 p.m. |

[Back to top](#)**Clearstream Banking SA Funds (formerly Citco Dublin)**

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| Local Agent | Citco Dublin |
| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
| UBS Omnibus Client Account at agent | 190990 |
| Additional information | Register Name: Citco Global Custody/Ref. UBS Switzerland AG |

[Back to top](#)**Mitsubishi UFJ Investor Services & Banking (Luxembourg) SA**

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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
| UBS Omnibus Client Account at agent | Mitsubishi UFJ Investor Services & Banking (Luxembourg) SA Ref UBS Switzerland AG A/c 3000000 (Formerly UBS Dublin) |

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Citibank Singapore Funds

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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
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| UBS Omnibus Client Account at agent | 8184303001 |
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[Back to top](#)**Citibank Luxembourg Funds**

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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
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| UBS Omnibus Client Account at agent | 1000003 |
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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
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[Back to top](#)**Credit Suisse Mutual Funds**

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| Local Agent | Credit Suisse Asset Management, Luxembourg |
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| SWIFT-Address (place of safekeeping) | CSAMLULL |
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| Custodian | Credit Suisse Zürich |
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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
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| Account Number of custodian with local agent | 39080 |
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| UBS Omnibus Client Account at agent | 0835-0113800-55-00 |
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| Account in favour of | UBS Switzerland AG UBSWCHZH80A 113800-55 |
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| Additional information | CBL and ECL account of CSAM not available, outside instruction necessary. |
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[Back to top](#)**Clariden Leu**

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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
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| UBS Omnibus Client Account at agent | 0160-0699193-25-001 |
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[Back to top](#)**Kredietbank S.A. Luxembourgeoise**

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| Local Agent | Local Agent: Kredietbank S.A, Luxembourgeoise |
| SWIFT-Address (place of safekeeping) | KBLXLULL |
| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
| UBS Omnibus Client Account at agent | 51-062490-41 |

[Back to top](#)**GAM Dublin**

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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
| UBS Omnibus Client Account at agent | 1006894 |

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| SWIFT Settlement Formatting Specialities | Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian |
| UBS Omnibus Client Account at agent | 100781.01 |

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