



Main Custodian List

The Main Custodian List contains all necessary information for a smooth securities settlement in the respective markets according to the principle of straight through processing.
Last update: May 12, 2016

Please note:

- You will find detailed information (Cut-Off Times, Currency, Market Rules etc.) for all markets in the Market Guides in the UBS Custody Portal for [Financial Institutions](#) or [Institutional Clients and Asset Managers](#).)
- For access to the UBS Custody Info Portal, please contact your account or relationship manager

Mutual/Offshore Funds:

- BNP Paribas Funds
- Clearstream Banking SA Funds (formerly Citco Dublin)
- Mitsubishi UFJ Global Custody S.A. Funds (formerly UBS Dublin)
- Citibank Singapore Funds
- Citibank Luxembourg Funds
- UBS Luxembourg Funds
- Credit Suisse Mutual Funds
- Clariden Leu
- Kredietbank S.A. Luxembourgeoise
- GAM Dublin
- UBS Jersey Funds

Money Market:

- Canadian Treasury Bills, TB
- U.S. Treasury Bills, TB

Markets:

- Argentina
- Australia
- Austria
- Belgium
- Brazil
- Bulgaria
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- China
- Croatia
- Cyprus
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- Denmark
- Egypt
- Estonia
- EuroMarket
- Finland
- France
- Germany
- Greece
- Hong Kong
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- Japanese Government Bonds (JGB)
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- New Zealand
- Norway
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- Russia
- Serbia
- Singapore
- Slovakia
- Slovenia
- South Africa
- Spain
- Sri Lanka
- Sweden
- Switzerland
- Taiwan
- Thailand
- Turkey
- United Arab Emirates
- United Kingdom
- United States of America

Argentina

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Caja de Valores (CDV), Buenos Aires
Local Agent	Citibank N.A. Buenos Aires
SWIFT-Address (custodian)	CITIUS33ARR
Favour of:	
Account Number in the name of	UBS AG, Zurich UBSWCHZH80A 815905
Market Set-up	<p>Account structure Until further notice, the market is closed for new investments through Booking Center Switzerland.</p> <p>Current positions are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities</p>
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S; 4 p.m.
Fixed Income DFP/RFP	S; 4 p.m.
Equities DVP/RVP	Until further notice, no versus payment is possible
Fixed Income DVP/RVP	Until further notice, no versus payment is possible

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Australia**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Austraclear Ltd., Sydney ASX Settlement and Transfer Corp., Sydney
Local Agent	J.P. Morgan Nominees Australia Limited MELBOURNE
SWIFT-Address (place of safekeeping)	CHASAU2XDCC effective 19. May 2014
Local Clearing No	CHES PID: 20104 Australclear Code CMNL20
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZ
Account Number of custodian with local agent	248300021109
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
Market Set-up	<p>Account structure Securities of clients with domicile Australia must be held in segregated accounts. All securities positions of clients domiciled outside Australia are held in an omnibus account.</p>

Disclosure

Clients with securities held in a segregated account must disclose their identity. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information	Settlement against AUD; 'All or None' orders possible
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1; 5 p.m.
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Fixed Income DFP/RFP	S-1; 5 p.m.
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Equities DVP/RVP	S-1; 3 p.m.
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Fixed Income DVP/RVP	S-1; 3 p.m.
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Austria**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Oesterreichische Kontrollbank AG (OeKB)
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Local Agent	UniCredit Bank Austria AG BKAUATWW
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SWIFT-Address (place of safekeeping)	Place of Settlement: OEKOATWW Place of Safekeeping: BKAUATWW In favour of: UBSWCHZH80A
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Local Clearing No	222100
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Custodian	UniCredit Bank Austria AG
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SWIFT-Address (custodian)	BKAUATWW
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UBS Account Number with Custodian	000015740400
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Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A 0001-157404/00
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Market Set-up	Account structure Securities of clients with domicile Australia must be held in segregated accounts. All securities positions of clients domiciled outside Australia are held in an omnibus account.
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Disclosure

Clients with securities held in a segregated account must disclose their identity. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information	n/a
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	S; 4.30 p.m.
Fixed Income DFP/RFP	S; 4.30 p.m.
Equities DVP/RVP	S 2.45 p.m.
Fixed Income DVP/RVP	S 2.45 p.m.

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Belgium**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	<p>ESES Equities: Euroclear Belgium (EBE), Brussels</p> <p>Non ESES physical Securities: via Citibank International Plc - Banque De Groof, Dexia or Fortis</p> <p>NBB Bonds: NBB (Banque Nationale de Belgique), Brussels</p>
Local Agent	Citibank International Plc.
SWIFT-Address (place of safekeeping)	<p>ESES securities CIKBEBBXXX</p> <p>Non-ESES securities NBBEBEBB216</p>
Custodian	Citibank International Plc
SWIFT-Address (custodian)	CITTGB2L
Account Number of custodian with local agent	<p>Local agent for ESES-eligible securities: EGSP: 000000000186 BIC: CITTGB2L in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0006030569</p> <p>Local agent for NON ESES-eligible securities: EGSP: Not applicable BIC: CITTGB2L in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0006030589 >> For physically held securities please always liaise first with your dedicated Settlement Team.</p> <p>Local agent for NBB Bonds: NBB Account: 4012 (Citibank's number) Place of Settlement: NBBEBEBBXXX</p> <p>NBB X-Account (Exempt,non-Resident): 0006030599 NBB N-Account (Non-Exempt,Resident): 0006030609</p>

Favour of:

Market Set-up	<p>Account structure</p> <p>Because of different withholding tax (WHT) rates on Belgian bonds integrated in the X/N regime (X/N-bonds), assets have to be segregated into a "non-taxable account" (for non-taxable investors) and a "taxable account" (for taxable investors). Investors who are tax-exempt in Belgium have to confirm this by signing a declaration.</p> <p>Bank-clients have to maintain two separate custody accounts with UBS. One for taxable investors and one for non-taxable investors. For both accounts UBS requires bank-clients to sign a declaration confirming that X/N-bonds in the accounts are only held for the specific investor categories.</p> <p>Clearstream Banking, the custodian of UBS in the Euromarket, maintains only a tax-exempt account within the X/N system. It is therefore not allowed to hold X/N-bonds on behalf of taxable investors with Clearstream.</p> <p>Disclosure n/a</p>
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Investor ID

n/a

Restrictions for Investors

There is a buying restriction on various X/N-bonds (regime 2). These securities may not be held by 'taxable investors'. Investors which are not exempt from withholding tax on interests in Belgium are currently not allowed to hold Belgian debt securities (NBB) in a currency other than EUR.

A list of all Belgian X/N-bonds including the regimes can be found on the [NBB website](#).

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP S 3.15 p.m.

Fixed Income DFP/RFP S 3.15 p.m.

Equities DVP/RVP S 3.15 p.m.

Fixed Income DVP/RVP S 3.15 p.m.

[Back to top](#)**Brazil****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Companhia Brasileira de Liquidacao e Custodia (CBLC), Sao Paulo (Equities) Sistema Especial de Liquidacao e Custodia (SELIC), Sao Paulo (Government Bonds) Central de Custodia e Liquidacao Financeira de Títulos (CETIP), Sao Paulo (Corporate Bonds)
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Local Agent	Unibanco Itau S.A. BR-04583-132 Sao Paulo
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SWIFT-Address (place of safekeeping)	ITAUBRSPINT
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Investors ID tied to account	Individual CVM code per investor
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Favour of:

Account Number in the name of	n.a., segregation on investor level
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Market Set-up	Account structure All securities must be held in segregated accounts per investor.
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Disclosure

Clients with segregated accounts must disclose their identity. These clients are required to waive the banking secrecy. Please note that the sub-account opening procedure takes at least 2 weeks.

Investor ID

Every investor has to apply for an individual investor ID (CVM code) prior to investing in the market. Additionally, an individual tax ID (CNPJ for legal entities and CPF for natural persons) must be obtained via UBS's custodian.

Restrictions for Investors

Investors with residency in Brazil are not allowed to invest in Brazilian securities through a foreign bank (e.g. UBS).

Specialities

n/a

Additional information

ATTENTION
OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD

Cut-Off Times - Client Deadlines

Equities DFP/RFP n/a

Fixed Income DFP/RFP n/a

Equities DVP/RVP S-1; 3 p.m.

Fixed Income DVP/RVP	S 12.00
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Bulgaria

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Equities and Corporate Bonds: Central Depository AD (CEDPBGSF) 12689 - counterparties must state this CSD ID on instructions Government Bonds: Bulgarian National Bank (BNBGBGSF)
Local Agent	N/A
SWIFT-Address (place of safekeeping)	RZBAATWW
Custodian	Raiffeisen Bank International AG
SWIFT-Address (custodian)	RZBAATWW
Account Number of custodian with local agent	12689 - counterparties must state this account on transactions at Central Depository AD (CEDPBGSF)

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A 8-65.097.230
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Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
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Additional information	ATTENTION SETTLEMENT VERSUS EURO ONLY
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	S 10.00 a.m.
Fixed Income DFP/RFP	S 10.00 a.m.
Equities DVP/RVP	N/A
Fixed Income DVP/RVP	N/A

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Canada

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	The Canadian Depository for Securities (CDSX), Toronto
Local Agent	CIBC Mellon Global Securities CA-Toronto, ONT M5L-1G9
SWIFT-Address (place of safekeeping)	MELNUS3PGSS
Local Clearing No	CUID-Number: COMO

Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZXXX
Account Number of custodian with local agent	SXSF 1001002 CUID-Number: COMO
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
Market Set-up	<p>Account structure Market regulation requires to keep securities of Canadian residents in segregated accounts in the name of the clients.</p> <p>Disclosure Canadian resident clients have to sign a declaration for the opening of a segregated account with UBS's custodian in Canada. The declaration enables UBS to disclose the clients' names.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors Canadian resident clients must not hold Canadian securities with a custodian of UBS outside of Canada. Investors with domicile outside of Canada are not allowed to invest in certain Canadian securities. A list of the affected securities is available on UBS's Custody Info Portal under 'Clearing & Settlement' in section 'Securities List' at: Canadian securities with restrictions for foreign investors.</p> <p>Specialities Settlement Chill (locked securities) No transactions in affected securities list "Frozen Letter Securities" published by DTCC.</p>
Additional information	<p>Special conditions for shares TELUS traded for investors not resident in Canada: Tradable ISIN: CA87971M1032, deliverable ISIN: CA87971M9969 (for non-residents)</p> <p>Purchases and receipts: Only the ISIN CA87971M1032 (for Canadian residents) is tradable. This ISIN is generally blocked for purchases and will only be opened upon request. In case a non-resident Investor buys or delivers in such shares, UBS will have to ask the transfer agent for a reservation number and convert the shares into non-resident shares (CA87971M9969) if possible. This will only be possible if the quota for non-residents is not fully utilized. In case the quota is fully utilized, a trade may have to be canceled or a delivery cannot be accepted.</p> <p>Important: please forward us the filled and signed Telus Corp. Reservation Application Form along with or prior to your settlement instruction.</p> <p>Sales and deliveries: Before shares TELUS held by non-residents can be sold or delivered out, they have to be converted from non-resident shares into the tradable shares (CA87971M1032). The conversion has to be instructed and takes about 8 - 10 days. The order to sell or deliver out the shares must only be entered after the conversion took place.</p>
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 5 p.m.
Fixed Income DFP/RFP	S; 5 p.m.
Equities DVP/RVP	S-1 4 p.m.
Fixed Income DVP/RVP	S-1 4 p.m.

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Canadian Treasury Bills, TB

CSD (Central Securities Depository), TB	The Canadian Depository for Securities (CDSX), Toronto
Local Agent, TB	Royal Bank of Canada, Toronto
SWIFT-Address (place of safekeeping), TB	ROYCCAT2
Account Number in the name of, TB	UBS Switzerland AG UBSWCHZH80A

Account number # 120026500001 - UBS SWITZERLAND AG A/C MMP
 Account number # 120026500002 - UBS SWITZERLAND AG-PROPRIETARY ASSETS

Additional information, TB	Max. nominal value per trade is CAD / USD 50 million
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Chile

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Deposito Central de Valores S.A., DCV, Santiago
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Local Agent	Banco de Chile, Santiago
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SWIFT-Address (place of safekeeping)	CITIUS33XXX
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Favour of:

Account number UBS with the custodian	every investor has his own account number
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Additional Settlement Informations	n/a
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Market Set-up	Account structure All securities are held in segregated accounts.
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Disclosure

The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.

Investor ID

Each client requires an individual tax ID.

Restrictions for Investors

n/a

Specialities

n/a

Additional information	
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	S; 3 p.m.
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Fixed Income DFP/RFP	S; 11 a.m.
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Equities DVP/RVP	
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Fixed Income DVP/RVP	
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China

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Shanghai & Shenzhen China Securities Depository & Clearing Corporation Limited (CSDCC)
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Local Agent	n/a
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SWIFT-Address (place of safekeeping)	CITIHKHX
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Local Clearing No	CITIBANK participation code within CSDCC Shanghai Branch: 00002 CITIBANK participation code within CSDCC Shenzhen Branch: 890100
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UBS Account Number with local agent	Shanghai: 1734580000 Shenzhen: 1734580001
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Investors ID tied to account	ID code for Shenzhen: 2000002138 ID code for Shanghai: C990021257
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Favour of:

Account Number in the name of	n/a
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Additional Settlement Informations	<p>1) Investor Code: ID code 2000002138 Shenzen, 2) Investor Code: ID code C990021257 Shanghai Der Kunde muss ein Hong Kong Waiver unterzeichnen um Transaktionsberechtigt zu sein.</p> <p>MMA-Market:</p> <p>settlement cycle: T+0 for buy and sell side</p>
Market Set-up	<p>China (Shanghai - Hong Kong Stock Connect / Mutual Market Access (MMA)) Stock Connect is a mutual order-routing connectivity and related technical infrastructure established by the Stock Exchange of Hong Kong Limited (SEHK) and the Shanghai Stock Exchange (SSE) to enable investors in both markets to trade designated equity securities listed in the respective other market.</p> <p>Currently, only northbound trading is available, i.e., the trading of SSE securities by eligible investors through Stock Connect. In the initial stage, only Shanghai-A shares will be included in the northbound trading but no other product types such as B shares, Exchange Traded Funds, bonds.</p> <p>Account Structure The securities through Shanghai-Hong Kong Stock Connect (MMA) are held in an omnibus account (currently A-shares only).</p> <p>Disclosure The exchange may required Stock Connect participants to provide information on investors and their transactions at any time.</p> <p>Restricted Investors Not allowed to trade in securities through Shanghai-Hong Kong Stock Connect (MMA) are: - Legal entities incorporated or registered in the People's Republic of China (PRC) - Individual who are nationals of the PRC and also domiciled in the PRC</p> <p>China (Shanghai/Shenzhen direct investments) UBS Wealth Management entities are currently not supporting direct investments in China A-shares or B-shares at the Shanghai and Shenzhen Stock Exchanges.</p> <p>In the above context, Macao, Hong Kong and Taiwan are not considered Chinese territory.</p>
Additional information	<p>ATTENTION CNY (Yuan Renminbi) for A-shares HKD (Hong Kong Dollar) for B-shares traded in Shenzhen USD (US Dollar) for B-shares traded in Shanghai</p> <p>MMA-Market: CNY (Yuan Renminbi) for A-shares</p>
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	Shanghai: S-2 5 p.m. Shenzhen: S-2 5 p.m.
Fixed Income DFP/RFP	Shanghai: S-1 5 p.m. Shenzhen: S-2 5 p.m.
Fixed Income DVP/RVP	S-1; 1 p.m.
Equities DVP/RVP	Shanghai: S-1 3pm Shenzen: S-2 3 pm
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Croatia	
SETTLEMENT INSTRUCTIONS	
CSD (Central Securities Depository)	Sredisnje Klirinsko Depozitarno Drustvo, dionicko drustvo (SKDD), Zagreb
Local Agent	Zagrebacka Banka Zagreb

SWIFT-Address (place of safekeeping)	ZABAHR2
Custodian	UniCredit Bank Austria AG
Account Number of custodian with local agent	999195-01-07929129-999
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A 0001-57404/05
Market Set-up	<p>Account structure Croatian equities must be held in segregated accounts per investor. Securities other than Croatian equities (e.g., debt securities) may be held in an omnibus account.</p> <p>Disclosure Disclosure of investors holding ADR/GDR with underlying Croatian securities upon request of the regulator. Clients with segregated accounts and/or holding ADR/GDR with Croatian underlying must disclose their identity and are required to waive the banking secrecy.</p> <p>Investor ID The Croatian authorities do not allocate specific Investor IDs but do require investors in Croatian equities and ADR/GDR with Croatian underlying to disclose their 'Osobni Identifikacijski Broj' (OIB) tax ID.</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S; 9 a.m.
Fixed Income DFP/RFP	S; 9 a.m.
Equities DVP/RVP	S-2; 4 p.m.
Fixed Income DVP/RVP	S-2; 4 p.m.

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Cyprus

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Central Securities Depository (CSD), Nicosia
Local Agent	Citibank Athens Athen
SWIFT-Address (place of safekeeping)	CITIGRAA
Favour of:	
Account Number in the name of	UBS AG, Zurich UBSWCHZH80A 801485

Market Set-up	<p>Account structure For tax reasons (Special Contribution for Defence Tax, SCDT), Cypriot securities are held in different omnibus accounts for the following client categories.</p> <ul style="list-style-type: none"> - non-residents of Cyprus - residents of Cyprus which are corporate clients (legal entities) - residents of Cyprus who are individual clients (natural persons) <p>Bank-clients of UBS (B4B) have to maintain separate custody accounts per investor category with UBS. For each of these accounts, a declaration must be signed confirming that Cypriot securities are held only for the specific investor category.</p> <p>Disclosure</p>
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Financial institutions holding omnibus accounts (e.g., UBS) must be in a position to disclose details of underlying investors upon request of the Cyprus Securities and Exchange Commission (CySec). Therefore, all clients are required to sign disclosure declarations waiving the banking secrecy prior to investing in the market.

Investor ID

n/a

Restriction for Investors

For tax reasons, residents of Cyprus are not allowed to hold Cypriot securities through UBS with Clearstream Banking Luxembourg.

Specialities

n/a

Additional information

n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP

n/a

Fixed Income DFP/RFP

S-1; 11 a.m.

Equities DVP/RVP

Currently under review

Fixed Income DVP/RVP

S-1; 9 a.m.

[↩ Back to top](#)**Czech Republic****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

Central Securities Registry (SCP), Prague

Local Agent

Unicredit Bank Czech Republic, A.S. Prague

SWIFT-Address (place of safekeeping)

BACXCZPP

SWIFT-Address (custodian)

BACXCZPP

Favour of:

Account in favour of

UBS Switzerland AG
 UBSWCHZH80A
 81165001 - Client omnibus account. Additional segregated client accounts.

Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP

S 2 p.m.

Fixed Income DFP/RFP

S 2 p.m.

Equities DVP/RVP

S-1 5.30 p.m.

Fixed Income DVP/RVP

S-1 5.30 p.m.

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Denmark**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	VP Securities A/S, Copenhagen
Local Agent	Nordea Bank Danmark A/S
SWIFT-Address (place of safekeeping)	VPDKDKKXXXX
Custodian	Nordea Bank Danmark A/S
SWIFT-Address (custodian)	NDEADKKK
UBS Account Number with Custodian	020001009430888

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A
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Market Set-up	Account structure All securities are held in an omnibus account.
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Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information	n/a
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	S; 12.30 noon
Fixed Income DFP/RFP	S; 12.30 noon
Equities DVP/RVP	S 11.15 a.m.
Fixed Income DVP/RVP	S 11.15 a.m.

[↩ Back to top](#)**Egypt****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Misr for Clearing, Depository and Central Registry (MCDR), Cairo
Local Agent	HSBC Cairo Egypt
SWIFT-Address (place of safekeeping)	EBBKEG CX

Favour of:

Account in favour of	Please be informed that Egypt is a beneficial owner segregated market.. Therefore please liaise either with your counterparty or in case of your own account, contact your UBS Relationship Manager.
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Market Set-up	Account structure All securities are held in segregated accounts.
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Disclosure

The opening of segregated accounts requires disclosure of the clients' identity and shareholder structure. For corporate clients, their management (BOD) and any shareholder of 5% or more needs to be disclosed. All clients must waive the banking secrecy.

Investor ID

For each client a unified code needs to be requested. The unified code is valid for 3 years and new documentation may be required

in order to renew the unified code after this period.

Restrictions for Investors

The Office of the General Prosecutor issued a list of individuals who are prohibited for all investment dealings in Egypt. Each corporate investor needs to check that none of its shareholders is on the prohibited list, if such shareholders are detected, the Egyptian stock exchange needs to be notified. This usually restricts the possibility for open-end investment funds to invest in the market.

In addition, Egyptian residents and/or nationals are restricted from investing in the Egyptian market via UBS due to repatriation restrictions.

Specialities

n/a

Additional information	ATTENTION OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD No trading offered through Booking Center Switzerland.
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	n/a
Fixed Income DFP/RFP	n/a
Equities DVP/RVP	S; 10 a.m.
Fixed Income DVP/RVP	S; 10 a.m.

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Estonia

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	AS Eesti Väärtpaberikeskus (EVK), Tallinn
Local Agent	Nordea Bank Finland, Helsinki
SWIFT-Address (place of safekeeping)	NDEAFIHHXXX
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZ
Account Number of custodian with local agent	20006701027735(EUR)

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A CH100025
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Market Set-up	Account structure All securities are held in an omnibus account. Disclosure n/a Investor ID n/a Restrictions for Investors n/a Specialities n/a
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Additional information	ATTENTION SETTLEMENT VERSUS EURO ONLY
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S-1; 12 noon

Fixed Income DFP/RFP	S-1; 12 noon
Equities DVP/RVP	S-1; 3 p.m. for Nordea Inhouse S-2; 3 p.m. for Domestic
Fixed Income DVP/RVP	S-1; 3 p.m. for Nordea Inhouse S-2; 3 p.m. for Domestic

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EuroMarket

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Clearstream Banking Luxembourg SA (CBL), Luxembourg
Local Agent	Clearstream Banking Luxembourg SA (CBL), Luxembourg
SWIFT-Address (place of safekeeping)	CEDELULL

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 13463
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Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
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Additional information	93593 (Euroclear Equivalent No)
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	n/a - equities are held domestic
Fixed Income DFP/RFP	<p>Bridge (Counterparty settling in Euroclear): S; 12 noon mandatory settlement S; 3 p.m. optional settlement (UBS default setting)</p> <p>CBL Inhouse: S; 2 p.m. mandatory settlement S; 5 p.m. optional settlement (UBS default setting)</p>

Equities DVP/RVP	n/a (no shares in Clearstream)
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Fixed Income DVP/RVP	<p>For Bridge Settlement (Counterparty settling in Euroclear): *****</p> <p>S-1 8 a.m. (RUB, RON) S-1 2 p.m. (AUD, HKD, YEN, NZD, SGD, CZK) S-1 3 p.m. (CNY) S 8 a.m. (HUF, ILS, ZAR, ISK, PLN, TRY) S 10 a.m. (DKK) S 12 noon (NKR, CHF, SKR,) S 12.30 p.m. (EUR, GBP, MXN, CAD, USD)</p> <p>CBL in-house: *****</p>
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S-1 8 a.m. (RUB, RON)
 S-1 2 p.m. (AUD, HKD, YEN, NZD, SGD, CZK),
 S-1 3 p.m. (CNY)
 S 8 a.m. (HUF, ILS, ZAR, ISK, PLN, TRY)
 S 10 a.m. (DKK)
 S 12 noon (NKR, CHF, SKR)
 S 2.15 p.m. (EUR, GBP, MXN, CAD, USD)

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Finland

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Finnish Central Securities Depository Ltd (APK), Helsinki
Local Agent	NORDEA BANK FINLAND PLC
SWIFT-Address (place of safekeeping)	NDEAFIHH
SWIFT-Address (custodian)	NDEAFIHH
UBS Account Number with Custodian	02 2000 12438495 3

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A
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Market Set-up

Account structure

Securities holdings held by clients with Finnish nationality (irrespective of domicile) and by companies registered in Finland must be kept in segregated accounts in the name of the clients.
 Securities held by other clients are kept in an omnibus account.

Disclosure

The opening of segregated accounts for Finnish Nationals requires disclosure of the client's name. The client has to waive the banking secrecy.

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S; 1.00 p.m.
Fixed Income DFP/RFP	S; 1.00 p.m.
Equities DVP/RVP	S; 1.00 p.m.
Fixed Income DVP/RVP	S; 1.00 p.m.

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France

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Euroclear France (EF), Paris
Local Agent	Citibank International Plc.

SWIFT-Address (place of safekeeping)	CITTGB2LXXX
Custodian	Citibank International Plc.
SWIFT-Address (custodian)	CITTGB2L (settlement) CITTGB2L (asset servicing - instructions via MT565) CITIGB2L (asset servicing - instructions via MT599)
Account Number of custodian with local agent	<p>Local agent for ESES-eligible securities: EGSP 000000000186 BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0654170002</p> <p>Local agent for NON ESES-eligible securities: EGSP number not required BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0654170002 >> Non-ESES securities are rare in market France. In the rare cases there is a transaction of such a security, UBS Settlements will manually format as appropriate.</p> <p>Local sub-agent for non-EUR versus payment settlement: Euroclear Bank BIC: MGTCBEBEECL Euroclear account: 11390 in favor of SWIFT BIC: UBSWCHZH80A or CITTGB2L (not matching criteria) in favor of Account No: 0656753048</p>
Favour of:	
Account in favour of	<p>Local agent for ESES-eligible securities: EGSP 000000000186 BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0654170002</p> <p>Local agent for NON ESES-eligible securities: EGSP number not required BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0654170002 >> Non-ESES securities are rare in market France. In the rare cases there is a transaction of such a security, UBS Settlements will manually format as appropriate.</p> <p>Local sub-agent for non-EUR versus payment settlement: Euroclear Bank BIC: MGTCBEBEECL Euroclear account: 11390 in favor of SWIFT BIC: UBSWCHZH80A or CITTGB2L (not matching criteria) in favor of Account No: 0656753048</p>
Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities "Registered Shares"</p>

No direct sale of registered shares which are registered in the client's name. The registered shares have to be exchanged for bearer shares before they can be sold. This can take a few days.

"Prime Fidelite"

Various shares offer the "prime fidelite" (higher dividends, additional voting rights). In order to receive these benefits, clients have to disclose personal information and an individual account in the client's name is required in the market.

Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S; 3.15 p.m.
Fixed Income DFP/RFP	S; 3.15 p.m.
Equities DVP/RVP	S: 3.15 p.m.
Fixed Income DVP/RVP	S: 3.15 p.m.

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BNP Paribas Funds

Local Agent, FMF	BNP Paribas Securities Services
Local Clearing No, FMF	BNP EGSP 000000000030
SWIFT-Address (place of safekeeping), FMF	- SICFRPPXXX (or ESESBEBBXXX) for ESES Eligible Securities - CITIFRPPXXX for NON ESES Eligible Securities
SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	50023J

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Germany

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Clearstream Banking Frankfurt AG (CBF), Frankfurt
Local Agent	Clearstream Banking AG D-60487 Frankfurt a/Main
SWIFT-Address (place of safekeeping)	DAKVDEFFXXX
Local Clearing No	CBF number: 7121 (CASCADE/CBF) CBL number: 67121 (CREATION/CBL)
SWIFT-Address (custodian)	INSECHZZXXX
Custodian	SIX/SIS AG

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
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Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

n/a

	Specialities n/a
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 4.30 p.m
Fixed Income DFP/RFP	Domestic Bonds are held via Clearstream Luxembourg
Equities DVP/RVP	S; 12 noon.
Fixed Income DVP/RVP	Domestic Bonds are held via Clearstream

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Greece

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Central Securities Depository (CSD), Athens
Local Agent	Citibank Athens
SWIFT-Address (place of safekeeping)	CITIGRAAXXX
Local Clearing No	991026 / 991023

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A Individual account for each client
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Market Set-up

Account structure
All securities are held in segregated accounts

Disclosure
The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.

Investor ID
n/a

Restrictions for Investors
n/a

Specialities
n/a

Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 1 p.m.
Fixed Income DFP/RFP	S; 1.30 p.m.
Equities DVP/RVP	S 1.30 pm
Fixed Income DVP/RVP	S; 1.30 pm.

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Hong Kong

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Hong Kong Securities Clearing Co. Ltd., Hong Kong
Custodian	For CCASS eligible instruments: UBS Securities Hong Kong For CMU bonds: Citibank N.A. Hong Kong
SWIFT-Address (custodian)	For CCASS eligible instruments: SWDRHKH1

	For CMU bonds: CITIHKHX
Account number UBS with the custodian	CCASS eligible instruments - 2144850800 CMU Bonds - 2368810000
Local Clearing No	For CCASS eligible instruments: CCASS No. B01161 For CMU bonds: CMU Account No. CIHK001
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A 2144850800
Market Set-up	<p>Account structure All securities are held in two omnibus accounts. One omnibus-account for clients resident in Hong Kong, whose client advisor is located in Hong Kong One omnibus-account for all other clients</p> <p>Disclosure The 'Client Identity Rule Policy' authorizes the Securities and Futures Commission and/or the stock exchanges to request information regarding the beneficial owner of a securities transaction. Therefore, all clients are required to sign a disclosure declaration waiving the banking secrecy prior to investing in the market.</p> <p>According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S-1; 5 p.m.
Fixed Income DFP/RFP	S-1; 5 p.m.
Equities DVP/RVP	S-1; 5 p.m.
Fixed Income DVP/RVP	S-1; 5 p.m.

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Hungary

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	The Central Depository and Clearing House (KELER), Budapest
Local Agent	Citibank Budapest RT. HU-1052
SWIFT-Address (place of safekeeping)	CITIHUHX (KELLER ID: 410)
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A 7200095001 (Individual Clients NOT Domiciled in Hungary) 7200095006 (Individual Clients Domiciled in Hungary) 7200095005 (Corporate Clients)

Market Set-up	<p>Account structure</p> <p>For tax reasons, securities are held in three different omnibus accounts for the following client categories.</p> <ul style="list-style-type: none"> - Corporate clients - Individual clients not resident in Hungary (natural persons) - Individual clients resident in Hungary (natural persons) <p>Bank-clients have to maintain separate custody accounts per investor category with UBS. For each of these accounts, a declaration must be signed confirming that Hungarian securities are held only for the specific investor category.</p> <p>Disclosure</p> <p>The identity of individual investors resident in Hungary (natural persons) has to be disclosed prior to dividend payments. Such clients are requested to sign a disclosure declaration.</p> <p>Investor ID</p> <p>n/a</p> <p>Restrictions for Investors</p> <p>For tax reasons, individual investors (natural persons) are not allowed to hold Hungarian securities with a custodian of UBS outside of Hungary.</p> <p>Specialities</p> <p>n/a</p>
Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S; 4 p.m.
Fixed Income DFP/RFP	S; 4 p.m.
Equities DVP/RVP	S 10.30 am.
Fixed Income DVP/RVP	S 10.30 am

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India

SETTLEMENT INSTRUCTIONS	SETTLEMENT INSTRUCTIONS
CSD (Central Securities Depository)	National Securities Depository Limited (NSDL) and Central Depository Services Ltd. (CDSL)
Local Agent	Standard Chartered Bank India
SWIFT-Address (place of safekeeping)	SCBLINBBOM
Favour of:	
Account in favour of	The contractual relationship with SCB is in the name of UBS Switzerland AG entity and in name of end client.
Market Set-up	<p>Account structure</p> <p>All securities are held in segregated accounts.</p> <p>Disclosure</p> <p>The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.</p> <p>Investor ID</p> <p>Corporate investors (i.e., legal entities) and individual investors (i.e., natural persons) have to obtain a Foreign Portfolio Investor (FPI) status.</p> <p>A renewal of an FPI registration must be completed with a local custodian prior to the expiry of the current FPI certificate.</p> <p>All FPIs must obtain a Permanent Account Number (PAN) from the Income Tax Department.</p> <p>Restricted investors</p> <p>Residents of India, Non-Resident Indians (NRI), Persons of Indian Origin (PIO) and Overseas Corporate Bodies (OCB) are restricted from investing in India.</p> <p>Specialities</p> <p>n/a</p>
Additional information	ATTENTION

OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S 8 a.m.
Fixed Income DFP/RFP	S 8 a.m.
Equities DVP/RVP	T 0 4 pm
Fixed Income DVP/RVP	T 0 4 pm

[↩ Back to top](#)**Indonesia****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	PT Kustodian Sentral Efek Indonesia (KSEI), Jakarta
Local Agent	Deutsche Bank AG, Jakarta
SWIFT-Address (place of safekeeping)	DEUTIDJA

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 2049584001
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Market Set-up

Account structure
Equities: omnibus account
Fixed income: segregated accounts

Disclosure

No disclosure of clients holding fixed income instruments as these segregated accounts are opened on an anonymous basis.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

ATTENTION
OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD IF NOT ALLREADY DONE BY THE BROKER

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1 5.00 p.m.
Fixed Income DFP/RFP	S-1; 5.00 p.m.
Equities DVP/RVP	S-1 5.00 p.m.
Fixed Income DVP/RVP	S-2; 5.00 p.m.

[↩ Back to top](#)**Ireland****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Euroclear UK & Ireland Limited (formerly CREST)
Local Agent	BNP Paribas Securities Services, London 4th Floor 55 Moorgate London EC2R 6PA UK SWIFT PARBGB2LXXX

SWIFT-Address (place of safekeeping)	PARBGB2L
Local Clearing No	CREST ID for equities, bonds and MM ID: 0PMAY
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZ
Account Number of custodian with local agent	2006420
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 3.30 p.m.
Fixed Income DFP/RFP	S 4 p.m. Domestic via CRST S 3.30 p.m. Crossborder via ECLR S 9 a.m. Crossborder via CEDE
Equities DVP/RVP	S 12 noon
Fixed Income DVP/RVP	S 12:30 p.m.

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Israel

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Tel Aviv Stock Exchange Clearing House Ltd. (TASE Clearing House), Tel Aviv
Local Agent	Bank Leumi Le-Israel BM IL-61000 Tel-Aviv
SWIFT-Address (place of safekeeping)	LUMIILITXXX
Favour of:	
Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 1080043694978
Market Set-up	<p>Account structure Segregated accounts - Israeli residents holding Israeli securities in Israel</p> <p>Omnibus account - Non-Israeli residents holding Israeli securities in Israel</p> <p>Exception: Israeli securities held OUTSIDE of Israel may be held in omnibus accounts, irrespective of the residency of the investor.</p>

Disclosure

Clients with securities held in a segregated account must disclose their identity. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

Private or corporate clients with their domicile or registered office in countries considered enemy states (currently, Iran, Iraq, Lebanon and Syria) or in countries at risk (currently, Libya and Yemen) are not allowed to invest in Israeli securities.

Specialities

Financial institutions holding Israeli securities on behalf of their clients are required to sign a declaration confirming the domicile of the underlying clients is not one of the restricted countries.

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S; 11 a.m.
Fixed Income DFP/RFP	S 11.30 a.m. S 08.30 a.m. Fridays + Holiday Eve
Equities DVP/RVP	S-1; 5 p.m.
Fixed Income DVP/RVP	S-1; 5 p.m.

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Italy**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Monte Titoli (MT), Milan
Local Agent	Citibank N.A., Milan
SWIFT-Address (place of safekeeping)	CITIITMXXXX
Local Clearing No	Monte Titoli Acc No = 63566 Securities Account in T2S = MOTICITIITMXXXX6356600

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 1252059
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Market Set-up**Account structure**

Segregated custody accounts for Italian residents
Segregated custody accounts for all investors holding substantial positions in equities (i.e., 2% or more of the total number of outstanding shares)

Disclosure

Italian resident clients and investors with substantial positions must sign a declaration for the opening of a segregated account with UBS' custodian in Italy. The declaration enables UBS to disclose the clients' names.

Investor ID

n/a

Restrictions for Investors

Italian resident clients must not hold Italian securities with a custodian of UBS outside of Italy.

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S 4 p.m.
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Fixed Income DFP/RFP	S 4 p.m.
Equities DVP/RVP	S 2 pm
Fixed Income DVP/RVP	S 2 pm

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Japan

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	JASDEC (Japan Securities Depository Center), Tokyo
Local Agent	BANK OF TOKYO-MITSUBISHI JP-TOKYO 103-0021
SWIFT-Address (place of safekeeping)	BOTKJPJTSAD
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZ
Account Number of custodian with local agent	100300300

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
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Market Set-up

Account structure

Japanese Government Bonds (JGB):

- Segregated accounts for JGB's held by all investors.

Japanese Corporate Bonds (JCB):

- Segregated accounts for JCB's held by individual investors (natural persons) resident in Japan

Japanese equities:

- Separate omnibus accounts per tax prefecture for equities held by individual investors (natural persons) resident in Japan

- One omnibus account for equities held by all other investors.

Substantial shareholdings:

Individual investors (natural persons) holding 3% or more of outstanding shares need to be reported as 'large shareholders' in due time as they are subject to a higher withholding tax rate on dividends. Therefore, their holdings need to be safe-kept in segregated accounts.

Disclosure

- Clients with securities held in segregated accounts must disclose their identity and waive the banking secrecy.

- Individual investors resident in Japan investing in Japanese equities have to provide their tax prefecture to UBS by signing a declaration.

- Investors holding Japanese ADR's must sign a disclosure declaration to enable UBS to disclose their identity to the US Depository Trust Company (US DTC) for tax purposes.

Investor ID

n/a

Restrictions for Investors

"Foreign Ownership Limitation (FOL)"

UBS conducts manual control over the inventory of these securities. If the limit within one security is reached and thus no registration can occur, the client will be notified. This can lead to frictions, especially during the transfer phase.

"Samurai Bonds"

Samurai bonds are issued in JPY (Yen) and are traded, held, and settled in Japan. Final beneficial owners that are U.S. persons according to QI-Definition, are not allowed to hold or acquire Samurai Bonds issued by U.S. entities in Japan.

"Japanese Eurobonds"

Investors resident in Japan are restricted from holding Japanese Eurobonds.

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1; 5 p.m.
Fixed Income DFP/RFP	S-1; 5 p.m.
Equities DVP/RVP	S-1 3 p.m.
Fixed Income DVP/RVP	S-1 3 p.m.

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Japanese Government Bonds (JGB)**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	JASDEC (Japan Securities Depository Center), Tokyo
Local Agent, JGB	The Bank of Tokyo Mitsubishi UFJ, Tokyo
SWIFT-Address (place of safekeeping), JGB	BOTKJPJT
UBS Account Number with local agent, JGB	Several account numbers in the name of the beneficial owner

Favour of:

Account Number in the name of, JGB	Several accounts in the names of the beneficial owners
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Korea**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Korea Securities Depository (KSD), Seoul
Local Agent	Standard Chartered First Bank Korea Limited
SWIFT-Address (place of safekeeping)	SCBLKRSE

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A
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Investors ID tied to account	IRC 32715 for UBS' individual clients (natural persons) who are under a discretionary mandate with UBS (i.e., UBS makes the investment decision). UBS' corporate clients (legal entities) clients must use their own IRC.
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Market Set-up**Account structure**

Corporate investors (legal entities)
- Segregated accounts

Individual investors (natural persons) - discretionary clients
- Omnibus account for discretionary clients of UBS (i.e., clients for whom UBS makes the investment decision)

Individual investors (natural persons) - non-discretionary clients
- UBS does no longer offer trading services for individual non-discretionary (advisory/execution-only) investors (i.e., natural persons who make their own investment decisions)

Disclosure

Corporate investors (legal entities) must invest through their own investor ID (IRC). Their details will be disclosed to the custodian bank(s), broker(s) and the competent authority in the application process for a sub-account and IRC.

Individual investors (natural persons) under a discretionary mandate with UBS (i.e., UBS makes the investment decision) may invest through UBS's omnibus account and "Customer Segregated Account Investment Registration Certificate" (CSA IRC). UBS must at all times be in a position to disclose beneficiary details upon request of a competent authority, custodian bank(s) or broker(s) involved. Consequently, discretionary individual clients (natural persons) are required to waive the banking secrecy by signing a disclosure agreement prior to investing in the market.

Individual investors (natural persons) who are non-discretionary (advisory/execution-only) clients of UBS (i.e., the client makes the

investment decision) may NOT invest in the South Korean market through UBS.

Investor ID

- Corporate investors (legal entities) must use their own investor ID (IRC) to invest in South Korean securities.
- Discretionary individual investors (natural persons for whom UBS makes the investment decision) may use UBS's investor ID (CSA IRC) to invest in South Korean securities.
- Non-discretionary (advisory/execution-only) individual investors (natural persons who make their own investment decision) may NOT invest in the South Korean market through UBS.

Restrictions for Investors

- Residents and nationals of South Korea are not allowed to invest in South Korean securities through a bank located outside of South Korea (e.g. UBS).
- Non-discretionary (advisory/execution-only) individual investors (natural persons who make their own investment decision) may NOT invest in the South Korean market through UBS.

Specialities

n/a

Additional information	ATTENTION OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	Free of payment transactions between different account holders is not possible
Fixed Income DFP/RFP	Free of payment transactions between different account holders is not possible
Equities DVP/RVP	S-1; 3 p.m.
Fixed Income DVP/RVP	S-1; 3 p.m.

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Kuwait

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Kuwait Clearing Company (KCC), Kuwait
Local Agent	HSBC Bank Middle East Limited Kuwait Branch, Kuwait City
SWIFT-Address (place of safekeeping)	HBMEKWKW

Favour of:

Account Number in the name of	Beneficial Owner Segregated Market - Meaning Accounts are in the name of the underlying client
Investors ID tied to account	All investors have to obtain an investor identification number from the Central Registration of the Kuwaiti Clearing Company (KCC).
Market Set-up	Account structure All securities must be held in segregated accounts per investor.

Disclosure

The opening of segregated accounts requires the disclosure of the clients' identity. Affected clients must waive the Swiss banking secrecy by signing a disclosure declaration.

Investor ID

Each investor needs a market identification number from the Kuwait Clearing Company (KCC) prior to investing in the market. UBS' local custodian will obtain the market ID for UBS' clients.

Restrictions for Investors

Residents of Kuwait are not allowed to invest in the Kuwaiti market through UBS.

Specialities

n/a

Additional Settlement Informations	settlement from Sunday to Thursday only. Friday and Saturday is considered as weekend
Additional information	n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP	n/a
Fixed Income DFP/RFP	n/a
Equities DVP/RVP	T +1 08.00. a.m.
Fixed Income DVP/RVP	T +1 08.00 a.m.

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Latvia**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Latvian Central Depository (LCD), Riga
Local Agent	Nordea Bank Finland, Helsinki
SWIFT-Address (place of safekeeping)	:95P::RECU//NDEAFIHH (Nordea Finland) :97A::SAFE//1109301 :95P::REAG//HABALV22 (Swedbank AS)
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZ
Account Number of custodian with local agent	2200055524684

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
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Market Set-up

Account structure
All securities are held in an omnibus account.

Disclosure
n/a

Investor ID
n/a

Restrictions for Investors
n/a

Specialities
n/a

Additional information

n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-2; 5 p.m. Domestic S-1; 5 p.m. Nordea Inhouse
Fixed Income DFP/RFP	S-2; 5 p.m. Domestic S-1; 5 p.m. Nordea Inhouse
Equities DVP/RVP	S-1; 3 p.m. for Nordea Inhouse S-2; 3 p.m. for Domestic
Fixed Income DVP/RVP	S-1; 3 p.m. for Nordea Inhouse S-2; 3 p.m. for Domestic

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Lithuania**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Securities Depository of Lithuania (CSDL), Wilna
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Local Agent	Nordea Bank Finland, Helsinki
SWIFT-Address (place of safekeeping)	:95P::RECU//NDEAFIHH (Nordea Bank Finland) :97A::SAFE//FI-MRIT-CA00021-C :95P::REAG//HABALT22 (Swedbank AB)
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZ
Account Number of custodian with local agent	2200055524684
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure All clients who want to invest in Lithuanian Securities have to waive the banking secrecy and sign a disclosure declaration.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S-2; 5 p.m. Domestic S-1; 5 p.m. Nordea Inhouse
Fixed Income DFP/RFP	S-2; 5 p.m. Domestic S-1; 5 p.m. Nordea Inhouse
Equities DVP/RVP	S-1; 3 p.m. for Nordea Inhouse S-2; 3 p.m. for Domestic
Fixed Income DVP/RVP	S-1; 3 p.m. for Nordea Inhouse S-2; 3 p.m. for Domestic

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Malaysia

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Bursa Malaysia Depository Sdn Bhd
Local Agent	Citibank Berhad
SWIFT-Address (place of safekeeping)	CITIMYKLXXX
Favour of:	
Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 5994380038
Market Set-up	<p>Account structure Omnibus account - Non-residents/non-nationals of Malaysia holding Malaysian equities (disclosure declaration required)</p> <p>Segregated accounts - Non-residents/non-nationals of Malaysia holding Malaysian fixed income securities - Malaysian nationals holding any type of Malaysian securities</p>

UBS Switzerland AG does not offer the market to residents of Malaysia.
UBS in general does not offer the market to residents of Israel.

Disclosure

The Securities Commission Malaysia is authorized to request information on the beneficial owners of securities held in an omnibus account at any time. Therefore, all affected clients are required to sign a disclosure declaration to waive the banking secrecy prior to investing in the market.

Clients wishing to subscribe a Malaysian IPO must sign a confirmation declaration.

Investor ID

n/a

Restrictions for Investors

UBS Switzerland AG does not offer the market to residents of Malaysia.
UBS in general does not offer the market to residents of Israel.

Specialities

n/a

Additional information	ATTENTION OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S-2 9 a.m.
Fixed Income DFP/RFP	S-1 11 a.m.
Equities DVP/RVP	S-2; 3 p.m.
Fixed Income DVP/RVP	S-2; 3 p.m.

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Mexico**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Contraparte Central de Valores (CCV)
Local Agent	Banamex S.A. MX-06695 Mexico, D.F.
SWIFT-Address (place of safekeeping)	CITIUS33MER
Custodian	Banamex S.A. , Mexico
SWIFT-Address (custodian)	CITIUS33MER

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A
UBS Account Number with Custodian	226064 Non-National 226066 Nationals (Nat. Mexiko) 729274 Non-Nationals (Money Market)

Market Set-up**Account structure**

Segregated accounts

- Mexican non-residents holding Mexican corporate bonds, banker's acceptance and bond funds
- Mexican residents holding Mexican government bonds, corporate bonds, banker's acceptance and bond funds
- Mexican nationals wishing to hold restricted shares and/or profit from preferential proxy voting terms

Omnibus account

- all other securities may be held in omnibus accounts (UBS's omnibus account is treated as a non-resident and non-national account in the market)

Disclosure

Clients with segregated accounts must disclose their identity. These clients are required to waive the banking secrecy. Please note that the sub-account opening procedure may take some days.

Investor ID

n/a

Restrictions for Investors

There are approximately 20 Mexican ISINs which can only be bought in the original share form by Mexican nationals (irrespective of their domicile) and must be held in disclosed segregated accounts.

Non-nationals of Mexico can only buy the respective CPO (Certificado de Participación Ordinaria) which are subject to availability in the market but can be held in UBS's omnibus account.

Non-nationals of Mexico are able to invest in restricted shares under special circumstances only.

A list of the affected securities is available on UBS's Custody Info Portal under 'Clearing & Settlement' in section 'Securities List' at:

[Mexican securities with restrictions for foreign investors](#)

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP S 5 p.m.

Fixed Income DFP/RFP S 5 p.m.

Equities DVP/RVP S 3 p.m.

Fixed Income DVP/RVP S 3 p.m.

[Back to top](#)**Morocco****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository) Maroclear, Casablanca

Local Agent Attijariwafa Bank MA-21700 Casablanca

SWIFT-Address (place of safekeeping) BCMAMAMC

Favour of:

Account Number in the name of
 UBS Switzerland AG
 UBSWCHZH80A
 31665 Free Convertible
 8163 Term Convertible

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of segregated accounts requires disclosure of the clients' identity. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

Moroccan securities must not be held by residents of Morocco via UBS.

Specialities

UBS currently holds 2 separate accounts ("free convertible" and "term convertible") in the Moroccan market:

"Free Convertible Cash-Account"

Foreign investors may freely dispose over the full credited cash stock.

"Term Convertible Cash-Account"

If foreign investors cannot prove that MAD (Moroccan Dirham) has been originally purchased against sale of hard currency (e.g. USD, EUR, CHF) or that securities have originally been acquired through the purchase of MAD against foreign currency, a term convertible MAD cash account is opened to lodge MAD and a securities account is linked to this cash account to safe-keep the subject securities. Term convertible MAD accounts are strictly regulated. An investor can repatriate the sale proceeds of securities only within four years, i.e., 25 per cent of the amount p.a.

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1; 10 a.m.
Fixed Income DFP/RFP	S-1; 10 a.m.
Equities DVP/RVP	S-2; 4 p.m.
Fixed Income DVP/RVP	S-2; 4 p.m.

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Netherlands**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Euroclear Nederland (ENL), Amsterdam
Local Agent	Citibank International Plc.
SWIFT-Address (place of safekeeping)	CITTGB2L
Local Clearing No	EGSP No. 000000000186
Custodian	Citibank International Plc.
SWIFT-Address (custodian)	CITTGB2L (settlement) CITTGB2L (asset servicing - instructions via MT565) CITIGB2L (asset servicing - instructions via MT599)

Favour of:

Account in favour of	<p>Local agent for ESES-eligible securities: EGSP 000000000186 BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSWCHZH80A in favor of Account No: 0000712656</p> <p>Local agent for NON ESES-eligible securities: EGSP Number not required BIC: CITTGB2LXXX in favor of SWIFT BIC UBS: UBSCHZH80A in favor of Account No: 0000712656</p> <p>Local sub-agent for non-EUR versus payment settlement: Euroclear Bank BIC: MGTCBEBEECL Euroclear account 11390 in favor of SWIFT BIC: UBSWCHZH80A or CITTGB2L (not matching criteria) in favor of Account No: 0000712656</p>
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Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

Trades failing due to missing or mismatched instructions will automatically be deleted within Euroclear Nederland 10 business days after the contractual settlement date. Euroclear Nederland does not generally accept settlement dates in the past. However, orders

whose settlement date lies no more than 10 business days in the past can still be instructed, provided the counterparty has already placed a suitable counter-order in ESES.

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S; 3.15 pm
Fixed Income DFP/RFP	S; 3.15 pm
Equities DVP/RVP	S; 3.15 pm
Fixed Income DVP/RVP	S; 3.15 pm

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New Zealand

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	New Zealand Central Securities Depository Limited (NZCSD), Wellington NZCSD no: CHAM24
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Local Agent	J.P. Morgan Chase NZ-WELLINGTON
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SWIFT-Address (place of safekeeping)	CHASNZ2ACCS
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Custodian	SIX SIS AG, Zurich
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SWIFT-Address (custodian)	INSECHZZ
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Account Number of custodian with local agent	1563-0001-11-01
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Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A CH100025
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Market Set-up

Account structure

Omnibus account
Non-residents of New Zealand may hold all types of New Zealand securities in omnibus accounts

Disclosure

According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.

Investor ID

n/a

Restrictions for Investors

New Zealand residents are not allowed to invest in New Zealand securities through UBS.

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1 5 p.m.
Fixed Income DFP/RFP	S-1 5 p.m.
Equities DVP/RVP	S-1 3 p.m.
Fixed Income DVP/RVP	S-1 3 p.m.

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Norway

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	VPS Holding ASA, Oslo
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Local Agent	NORDEA BANK NORGE ASA
SWIFT-Address (place of safekeeping)	NDEANOKKXXX
Custodian	NORDEA BANK NORGE ASA
SWIFT-Address (custodian)	NDEANOKK
Account number UBS with the custodian	UBS Switzerland AG UBSWCHZH80A 060040147971 (UBS Switzerland AG -OMNIBUS-DISCLOSED CLIENTS)
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A
Market Set-up	<p>Account structure</p> <p>Securities held by Norwegian clients (Norwegian is a person with a) nationality or domicile in Norway or b) company with its headquarters in Norway) are held in segregated accounts. Securities positions for non-Norwegian clients are held in an omnibus account.</p> <p>Disclosure</p> <p>Clients with segregated accounts are required to disclose their identity. These clients have to waive the banking secrecy. Prior to investing in Norway, non-Norwegian clients have to sign a declaration form authorizing UBS to report their names to Norwegian authorities upon request.</p> <p>Investor ID</p> <p>n/a</p> <p>Restrictions for Investors</p> <p>n/a</p> <p>Specialities</p> <p>n/a</p>
Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 10.30 a.m.
Fixed Income DFP/RFP	S 10.30 a.m.
Equities DVP/RVP	S 10.30 a.m.
Fixed Income DVP/RVP	S 10.30 a.m.

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Peru

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Caja de Valores y Liquidaciones, Institucion de Compensacion y Liquidacion de Valores (CAVALI ICLV S.A.), Lima
Local Agent	Citibank del Peru S.A., Lima
SWIFT-Address (place of safekeeping)	CITIUS33LIM
Favour of:	
Account Number in the name of	UBS Switzerland AG UBSWCHZH80A Individual account for each client
Investors ID tied to account	CAVALI Code of client
Settlement Currency	Inx in PEN (Nuevo Sol) but our custodian automatically converts the settlement amount into USD.
Market Set-up	<p>Account structure</p> <p>All securities must be held in segregated accounts.</p> <p>Disclosure</p>

Clients with segregated accounts must disclose their identity. These clients are required to waive the banking secrecy. Peruvian companies with shares listed in Peru are obliged to disclose their shareholders who hold 0,5% or more of the outstanding shares on a quarterly basis to the authority.

Investor ID

Every client is required to obtain a CAVALI-Code before investing in the market.

Restrictions for Investors

n/a

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP S 1 p.m.

Fixed Income DFP/RFP S 1 p.m.

Equities DVP/RVP S; 1 p.m.

Fixed Income DVP/RVP S; 1 p.m.

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Philippines**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository) Philippine Depository and Trust Corporation (PDTC), Makati City

Local Agent Deutsche Bank AG, Manila Branch

SWIFT-Address (place of safekeeping) DEUTPHMM

Favour of:

Account Number in the name of UBS Switzerland AG

Market Set-up

Account structure

All securities of non-residents of the Philippines are held in an omnibus account.

Disclosure

Clients have to disclose their identity and waive the banking secrecy only in case segregated accounts are opened.

Investor ID

Due to the Exchange Regulations, foreign investments must be registered with the Bangko Sentral ng Pilipinas (BSP). For this purpose, foreign investors (non-residents of the Philippines) are required to obtain a Bangko Sentral Registration Document (BSRD). The BSRD is a license to purchase foreign exchange and repatriate sales proceeds/income through the Philippine banking system. UBS' custodian automatically applies for a BSRD in case of purchases.

Restrictions for Investors

UBS does not offer the Philippine market to Philippine residents.

Additional information

ATTENTION
OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD

Cut-Off Times - Client Deadlines

Equities DFP/RFP S-1 5 p.m.

Fixed Income DVP/RVP S-1; 4 p.m.

Equities DFP/RFP S-1 5 p.m.

Fixed Income DVP/RVP S-1; 4 p.m.

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Poland

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	The National Depository for Securities (NDS), Warsaw
Local Agent	Bank PEKAO, Warsaw
SWIFT-Address (place of safekeeping)	PKOPPLPWCUS
Custodian	Bank PeKAO, Warsaw
SWIFT-Address (custodian)	PKOPPLPWCUS
Account Number of custodian with local agent	Polish discount bonds: segregated account per client All other instruments: client omnibus account # 5589901

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A Segregation on investor level. See Additional Information and Market Set Up.
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Market Set-up**Account structure**

Omnibus account

- Corporate investors (irrespective of domicile)
- Individual investors domiciled in a country with a DTT with Poland

Segregated accounts

- Individual investors domiciled in Poland
- Individual investors domiciled in a country without a DTT with Poland
- All investors (irrespective of domicile) holding Polish discount bonds (e.g., Zero Bonds)

Disclosure

Disclosure of investors holding securities in an omnibus account upon request of the regulator.

Disclosure of investors upon opening of a segregated account.

All these clients are required to waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S 4 p.m.
Fixed Income DFP/RFP	S 4 p.m.
Equities DVP/RVP	S 8 am
Fixed Income DVP/RVP	S 8 am

[↩ Back to top](#)**Portugal****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Central de Valores Mobiliários, Porto
Local Agent	Citibank International Lisboa Portugal
SWIFT-Address (place of safekeeping)	CITIPTPXXXX
Local Clearing No	CVM Code 528

Investors ID tied to account	CVM Code 528 (Citibanks Code)
Favour of:	
Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 7002140280
Market Set-up	<p>Account structure Omnibus account - Non-residents of Portugal</p> <p>Segregated accounts - Residents of Portugal</p> <p>Disclosure The opening of a segregated account requires the disclosure of the client's identity who must then waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors - Residents of Portugal must not hold Portuguese securities (ISIN-prefix PT) outside of Portugal - Residents of Tax Haven countries must not hold Portuguese debt securities (ISIN-prefix PT) outside of Portugal</p> <p>Specialities n/a</p>
Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 4 p.m.
Fixed Income DFP/RFP	S 4 p.m.
Equities DVP/RVP	S; 1.30 pm
Fixed Income DVP/RVP	S; 1.30 pm

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Qatar

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Central Registration Department (CRD), Doha (CRD functions as a quasi depository, it is an integral part of the Qatar Exchange, QE)
Local Agent	HSBC Bank Middle East Limited, Doha
SWIFT-Address (place of safekeeping)	BBMEQAQX

Favour of:

Account Number in the name of	Segregation on BO Level - no Omnibus Account Available
Investors ID tied to account	National Investor Number (NIN) per investor

Market Set-up	<p>Account structure All securities must be held in segregated accounts per investor.</p> <p>Disclosure The opening of segregated accounts requires the disclosure of the clients' identity. Affected clients must waive the Swiss banking secrecy by signing a disclosure declaration.</p> <p>Investor ID Each investor needs a National Investor Number (NIN) from the Central Registration Department of the Qatar Exchange. UBS' local custodian will obtain the NIN for UBS' clients.</p> <p>Restrictions for Investors n/a</p>
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Specialities

n/a

Additional information

Bei Titel Verkäufen müssen diese mittels MT599 Vorgängig vom Custody Account in's Trading Account transferiert werden.

Quote

Movement of securities from the Custody Account to the Trading Account can be advised on a case-by-case basis. Instructions should be received latest by 7.30 Qatar local time on T if the client intends to sell stocks on T. In case stocks are not sold and the client wishes to transfer the same back to the Custody Account, specific instructions would again need to be provided to HSBC. Instructions received by 13:00 Qatar local time would be executed on the same day.

No standing instructions will be required for this option but the client will need to be aware of the said deadline and the need of specific instructions for transferring the securities back.

Unquote**Cut-Off Times - Client Deadlines**

Equities DFP/RFP

n/a

Fixed Income DFP/RFP

n/a

Equities DVP/RVP

T +2 08.00 a.m.

Fixed Income DVP/RVP

T +2 08.00 a.m.

[Back to top](#)**Romania****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

Equities and Corporate Bonds:
Depozitarul Central S.A. (DECNROBU)

Government Bonds:
National Bank of Romania (NBORROBS)

Local Agent

Raiffeisen Bank International AG

SWIFT-Address (place of safekeeping)

RZBAATWW

Custodian

Raiffeisen Bank International AG

SWIFT-Address (custodian)

RZBAATWW

Favour of:

Account in favour of

Segregated market. For each underlying beneficial owner an account in their name must be opened at UBS' custodian Raiffeisen Bank International AG.

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of a segregated account requires disclosure of the client's identity. Such clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP

N/A

Fixed Income DFP/RFP

N/A

Equities DVP/RVP

S 8 a.m.

Fixed Income DVP/RVP

S 8 a.m.

[↩ Back to top](#)**Russia****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

National Settlement Depository (NSD), Moscow: for bonds and equities
JSC VTB Bank, Moscow: for MinFin

Local Agent

Zao Citibank, Moscow

SWIFT-Address (place of safekeeping)

CITIRUMX

Local Clearing No

NSD No - ML9512090662/0000000000000000
NSD Depot - MC0018600000**Favour of:**

Account Number in the name of

UBS Switzerland AG
UBSWCHZH80A
4204000001

Market Set-up

Account structure

All securities are held in an omnibus account. The account holder is considered beneficial owner.

Disclosure

Clients holding depository receipts (ADR/GDR) with Russian underlying must disclose their identity and are required to waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

In the market Russia beneficial ownership is determined by registration. Therefore, UBS can not offer proxy voting services. Investors who wish to exercise their voting rights must open an account directly with the local custodian/depository.

Additional information

Please note:**Settlement in Russia only versus USD and separately from the securities delivery**

RUB denominated bonds that are traded and settled in the Euromarket can be settled against RUB

Cut-Off Times - Client Deadlines

Equities DFP/RFP

S 1 p.m.

Fixed Income DFP/RFP

S 1 p.m.

Equities DVP/RVP

DVP / RVP is not applicable in Russia. All securities trades must be free of payment. Stock exchange trades must be effected as Free of Payment. Payment follows separately in USD

Fixed Income DVP/RVP

DVP / RVP is not applicable in Russia. All securities trades must be free of payment. Stock exchange trades must be effected as Free of Payment. Payment follows separately in USD.

[↩ Back to top](#)**Serbia****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

Central Registrar and Central Depository for Securities (CSDCCP), Belgrade

Local Agent

Uni Credit Bank Serbia JSC Belgrade

SWIFT-Address (place of safekeeping)

BACXRSBG

Custodian

UniCredit Bank Austria AG

SWIFT-Address (custodian)

BKAUATWW

Account Number of custodian with local agent	1001 999 00
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A 0001-57404/11
Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S-2; 9 a.m.
Fixed Income DFP/RFP	S-2; 9 a.m.
Equities DVP/RVP	S-3; 5 p.m.
Fixed Income DVP/RVP	S-3; 5 p.m.

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Singapore

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Central Depository (Pte) Ltd (CDP), Singapore
Local Agent	CITIBANK, N.A. SG-SINGAPORE 049481
SWIFT-Address (place of safekeeping)	CITISGSG

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 8184303001
Market Set-up	<p>Account structure Securities held by Singaporean nationals and/or residents have to be segregated. All other holdings are held in an omnibus account.</p> <p>Disclosure The opening of segregated accounts requires disclosure of the client's identity. These clients must waive the banking secrecy. According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Furthermore, clients wishing to subscribe a Singaporean IPO must sign a confirmation declaration.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1; 5 p.m.
Fixed Income DFP/RFP	S-1; 5 p.m.
Equities DVP/RVP	S-1 2.30 p.m.
Fixed Income DVP/RVP	S-1 2.30 p.m.

[↩ Back to top](#)**Slovakia****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Central Securities Depository of the Slovak Republic (CDCP), Bratislava
Local Agent	UniCredit Bank Czech Republic and Slovakia, a.s.
SWIFT-Address (place of safekeeping)	CSDSSKBAXXX
Custodian	UniCredit Bank Austria AG
SWIFT-Address (custodian)	BKAUATWW
Account Number of custodian with local agent	700200666897

Favour of:

Account in favour of	UBS Switzerland AG UBSWCHZH80A 0001-57404/12
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Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

n/a

Specialities

n/a

Additional information

n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1; 10 a.m.
Fixed Income DFP/RFP	S; 1 p.m.
Equities DVP/RVP	S-1 9 am
Fixed Income DVP/RVP	S-1 9 am

[↩ Back to top](#)**Slovenia****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Centralna klirinsko depotna druzba d.d. (KDD), Ljubljana
Local Agent	UniCredit Banka Slovenija d.d., Ljubljana
SWIFT-Address (place of safekeeping)	BACXSI22

Custodian	UniCredit Bank Austria AG
SWIFT-Address (custodian)	BKAUATWW
Account Number of custodian with local agent	33604009
Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A 00015740414 Ref: Slovenia
Market Set-up	<p>Account structure All securities must be held in segregated accounts. Slovenian government bonds held at CBL are exempt from this rule.</p> <p>Disclosure Clients with segregated accounts are required to disclose their identity. These clients have to waive the banking secrecy.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S-1; 10 a.m.
Fixed Income DFP/RFP	S-1; 10 a.m.
Equities DVP/RVP	S-2; 5 p.m.
Fixed Income DVP/RVP	S-2; 5 p.m.

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South Africa

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	STRATE Limited, Sandton
Local Agent	FNB (a division of FirstRand Bank Limited), Johannesburg
SWIFT-Address (place of safekeeping)	FIRNZAJJXXX
Custodian	FNB (a division of FirstRand Bank Limited)
SWIFT-Address (custodian)	FIRNZAJJ896
UBS Account Number with Custodian	ZA0000048186
Favour of:	
Account in favour of	UBS Switzerland AG
Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed.</p> <p>Investor ID n/a</p> <p>Restrictions for Investors</p>

n/a

Specialities

n/a

Additional information

n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP

S-2 10 a.m.

Fixed Income DFP/RFP

S-1 8.30 a.m.

Equities DVP/RVP

S-2 10 a.m.

Fixed Income DVP/RVP

S-1 8 a.m.

[Back to top](#)**Spain****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

Iberclear, Madrid

Local Agent

Citibank Madrid

Favour of:

SWIFT-Address (place of safekeeping)

CITIESMX

Account Number in the name of

UBS Switzerland AG
UBSWCHZH80A
4428290000

Market Set-up

Account structure

Most securities are held in an omnibus account.

Proxy voting only allowed through segregated accounts in the name of the end-clients

Disclosure

Spanish residents who want to invest in Spanish domestic bonds have to waive the banking secrecy and sign a disclosure declaration.

Investor ID

n/a

Restriction for Investors

n/a

Specialities

Please note, that the authorities can require disclosure of beneficial ownership prior to the redemption of Treasury Bills. In such cases, the client has to waive the banking secrecy.

Additional information

UBS will not initialize re-registration for deliveries "BENE/NBEN"
but will re-register receipts automatically "BENE/YBEN"

Receipts:

UBS will receive securities registered in the CP's name and coordinate thereafter the compra venta (re-registration) with our custodian, Citi Madrid: (TAG 22F::BENE/YBEN)

The securities safe-kept in the main custody account (omnibus account) at Citi on behalf of UBS Switzerland AG will be registered in the name of UBS Switzerland AG.

Note that UBS Switzerland AG's registration BIC code at IBERCLEAR is UBSWCHZH80A.

Cut-Off Times - Client Deadlines

Equities DFP/RFP

S 4 p.m. IBERCLEAR
S 8 a.m. Linked Trades and Citibank Inhouse

Fixed Income DFP/RFP

S 12 noon

Equities DVP/RVP

S 11 am

Fixed Income DVP/RVP	S 12.00 noon
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Sri Lanka

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Central Depository Systems (Pvt) Ltd., Colombo
Local Agent	Hongkong & Shanghai Banking Corp. (HSBC), Colombo
SWIFT-Address (place of safekeeping)	HSBCLKLX

Favour of:

Account Number in the name of	UBS AG, Zurich UBSWCHZH80A 0001-199512-281
Investors ID tied to account	1722 FC in the name of UBS AG, Zurich

Market Set-up

Account structure

All Sri Lankan securities must be held in segregated accounts per investor.

Disclosure

The opening of segregated accounts requires the disclosure of the investor's identity and further details which will be disclosed to the custodian bank(s), broker(s), other involved parties and competent authorities. Affected investors are required to waive the Swiss banking secrecy.

Please note that the opening procedure for segregated accounts is complex and can take several weeks.

Investor ID

n/a

Restrictions for Investors

Sri Lankan nationals who are domiciled in Sri Lanka are not permitted to invest in Sri Lankan securities through UBS.

Specialities

n/a

Additional information

ATTENTION

OUR LOCAL CUSTODIAN AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD IF NOT ALLREADY DONE BY THE BROKER

Cut-Off Times - Client Deadlines

Equities DFP/RFP	Free of payment transactions between different account holders is not possible
Fixed Income DFP/RFP	Free of payment transactions between different account holders is not possible
Equities DVP/RVP	Receive versus payment (RVP) S-2; 3 p.m. Delivery versus payment (DVP) S-3; 3 p.m.
Fixed Income DVP/RVP	S-1; 9 a.m.

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Sweden

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	former Värdepapperscentralen AB (VPC), Stockholm now Euroclear Sweden
Local Agent	SKANDINAVISKA ENSKILDA BANKEN SE-106 40 STOCKHOLM
SWIFT-Address (place of safekeeping)	ESSESESS
Custodian	SEB
SWIFT-Address (custodian)	ESSESESSXXX
UBS Account Number with Custodian	01-100 201 645

Favour of:	
Account in favour of	UBS Switzerland AG UBSWCHZH80A
Market Set-up	<p>Account structure All securities are held in an omnibus account.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 3.30 p.m.
Fixed Income DFP/RFP	S 8.30 a.m.
Equities DVP/RVP	S 12 noon
Fixed Income DVP/RVP	S 8 am

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Switzerland

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	SIX SIS AG, Zurich
Local Agent	n/a
SWIFT-Address (place of safekeeping)	UBSWCHZH80A
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZXXX

Favour of:

Local Clearing No	BP-ID CH100025
Market Set-up	<p>Account structure Segregation of proprietary holdings from clients' holdings is neither required by Swiss law nor market practice in Switzerland. UBS therefore operates with an omnibus account structure. However, UBS maintains a separate account for its proprietary holdings and separates its proprietary holdings from its clients' holdings per re-alignment end of settlement day.</p> <p>Disclosure n/a</p> <p>Investor ID n/a</p> <p>Restrictions for Investors n/a</p> <p>Specialities n/a</p>
Additional information	n/a
Cut-Off Times - Client Deadlines	

Equities DFP/RFP	S 9 p.m. STP
	S 5 p.m. non STP
	S 3:30 p.m. Settlement via SIX SIS AG for Crest-Link (Swiss securities traded in UK)
	S 11 a.m. Settlement via SIX SIS AG for Clearstream Banking Frankfurt (Swiss securities traded in Germany)
	S 5 p.m. via T2S
Fixed Income DFP/RFP	S 9 p.m. STP
	S 5 p.m. non STP
	S 3:30 p.m. Settlement via SIS AG for Crest-Link (Swiss securities traded in UK)
	S 11 a.m. Settlement via SIS AG for Clearstream Banking Frankfurt (Swiss securities traded in Germany)
	S 5 p.m. via T2S
Equities DVP/RVP	S 2 p.m. (CHF)
	S 3.15 p.m. (EUR)
	S 5.15 p.m. (USD)
	S 2.45 p.m. (GBP)
	S-1 5 p.m. (JPY)
	S 3.15 p.m. via T2S
Fixed Income DVP/RVP	S 2 p.m. (CHF)
	S 2 p.m. (EUR)
	S 4 p.m. (USD)
	S 3.15 p.m. via T2S

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Taiwan

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Taiwan Depository and Clearing Corporation (TDCC), Taipei
Local Agent	HSBC Bank (Taiwan) Limited, Taipei
SWIFT-Address (place of safekeeping)	HSBCTWTP

Favour of:

Account Number in the name of	Account number in the name of each individual FINI (Foreign Institutional Investor)
Investors ID tied to account	Separate FINI ID (Foreign Institutional Investor) for each investor

Market Set-up

Account structure

All securities are held in segregated accounts.

Disclosure

The opening of segregated account requires the disclosure of the client's identity. These clients must waive the banking secrecy.

Investor ID

Institutional clients investing in Taiwan have to request a FINI ID (Foreign Institutional Investor Identification) within the market.

Restrictions for Investors

Private investors (natural persons, Chinese nationals and entities (irrespective of domicile) and Taiwanese nationals and entities having their domicile or source of funds in China or Taiwan are not allowed to invest in Taiwanese securities.

Taiwan market is not offered to Foreign Individual clients.

Specialities

n/a

Additional information

Cut-Off Times - Client Deadlines

Equities DFP/RFP	S-1 11 a.m.
Fixed Income DFP/RFP	S-1 11 a.m.
Equities DVP/RVP	S-1 9 a.m.
Fixed Income DVP/RVP	S-1; 3 p.m.

[↩ Back to top](#)**Thailand****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Thailand Securities Depository Co. Ltd. (TSD), Bangkok
Local Agent	Citibank Bangkok Bangkok
Local Clearing No	TSD account number = 301 (This is Citibank Bangkok's client omnibus account number at the TSD)
SWIFT-Address (place of safekeeping)	CITITHBX

Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A 8202827509
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Market Set-up

Account structure

All securities are held in an omnibus account.

Disclosure

n/a

Investor ID

n/a

Restrictions for Investors

Thai securities must not be held by residents of Thailand via UBS.

General

Local custodians will automatically convert local shares into "F" shares (Foreign shares) as foreigners are not supposed to hold local shares.

Foreigners who hold foreign shares will be eligible for dividends, right issues & warrants and voting rights.

Additionally, the automatic exchange from local shares into "F" shares may be blocked in case the "F" share quota is exhausted. In this case, corporate events and dividend rights may be lost for foreigners.

Additional information

ATTENTION**LOCAL CUSTODIAN OF UBS ZURICH AUTOMATICALLY CONVERTS THE SETTLEMENT AMOUNT INTO USD IF NOT ALREADY DONE BY THE BROKER****Cut-Off Times - Client Deadlines**

Equities DFP/RFP	S-1 5 p.m.
Fixed Income DFP/RFP	S-1 5 p.m.
Equities DVP/RVP	S-1; 3 p.m.
Fixed Income DVP/RVP	S-1; 3 p.m.

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Turkey**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	Takasbank, Istanbul
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Local Agent	Deutsche Bank A.S., Istanbul
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SWIFT-Address (place of safekeeping)	DEUTTRISCUS
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Favour of:

Account Number in the name of	UBS Switzerland AG UBSWCHZH80A Individual account for each client
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Market Set-up**Account structure**

All securities are held in segregated accounts.

Disclosure

The opening of segregated accounts requires disclosure of the clients identity. These clients must waive the banking secrecy.

Investor ID

Each client needs an individual tax ID.

Restrictions for Investors

n/a

Specialities

n/a

Additional information	
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Cut-Off Times - Client Deadlines

Equities DFP/RFP	S 3.30 p.m. OTC S 1.30 p.m. On Exchange
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Fixed Income DFP/RFP	S 3 p.m. OTC S 2 p.m. On Exchange
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Equities DVP/RVP	S 9 a.m.
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Fixed Income DVP/RVP	S 9 a.m.
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United Arab Emirates**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)	<p>NASDAQ Dubai</p> <p>DFM is the CSD for the majority of the Nasdaq Dubai traded shares. Only a limited number of international stocks is directly held at ICSD level</p> <p>ADX</p> <p>The Clearing and Settlement, Depositing and Registration (CSDR) department of the ADX is responsible to perform clearing, settlement, custody and registration of securities traded on the ADX.</p> <p>DFM</p> <p>The DFM operates the Clearing, Depository and Settlement (CDS) system. CDS processes the clearing and settlement of securities traded on the DFM and also manages the share books of public companies listed at the DFM.</p>
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NASDAQ Dubai

DFM is the CSD for the majority of the Nasdaq Dubai traded shares. Only a limited number of international stocks is directly held at ICSD level

ADX

The Clearing and Settlement, Depositing and Registration (CSDR) department of the ADX is responsible to perform clearing, settlement, custody and registration of securities traded on the ADX.

DFM

The DFM operates the Clearing, Depository and Settlement (CDS) system. CDS processes the clearing and settlement of securities traded on the DFM and also manages the share books of public companies listed at the DFM.

Local Agent	<p>NASDAQ Dubai</p> <p>Deutsche Bank AG, Amsterdam</p> <p>ADX/DFM</p> <p>Standard Chartered Bank, Dubai</p>
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NASDAQ Dubai

Deutsche Bank AG, Amsterdam

ADX/DFM

Standard Chartered Bank, Dubai

SWIFT-Address (place of safekeeping)	<p>NASDAQ Dubai</p> <p>CEDELULL (CBL for NASDAQ Dubai)</p>
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NASDAQ Dubai

CEDELULL (CBL for NASDAQ Dubai)

ADX/DFM

DFMTAE1 - DUBAI FINANCIAL MARKET, PJSC and ADSEAE1 - ABU DHABI SECURITIES MARKET

Account Number with Local Agent

NASDAQ Dubai

CBL via Deutsche Bank Amsterdam: 265305500

Deutsche Bank, Amsterdam via Deutsche Bank, Dubai; NASDAQ Dubai: 10029

ADX/DFM

ADX / DFM are investor segregated markets, meaning each investor will have their own account
(Custodian is Standard Chartered Bank, UAE)

Favour of:

Account Number of Sub-custodian with Local Agent

NASDAQ Dubai

CBL via Deutsche Bank Amsterdam: 265305500

Deutsche Bank, Amsterdam via Deutsche Bank, Dubai; NASDAQ Dubai: 10029

ADX/DFM

ADX / DFM are investor segregated markets, meaning each investor will have their own account
(Custodian is Standard Chartered Bank, UAE)

Market Set-up

Account structure

All securities must be held in segregated accounts per investor and per market (DFM and ADX).

As an exception, securities traded on NASDAQ Dubai may be held in an omnibus account (e.g. UBS' omnibus account with Clearstream Luxembourg). For this set-up, no client disclosure is required.

Disclosure

The opening of segregated accounts requires the disclosure of the clients' identity. Affected clients must waive the Swiss banking secrecy by signing a disclosure declaration.

Investor ID

Each investor needs a National Investor Number (NIN) from Dubai Financial Market (DFM) or Abu Dhabi Securities Exchange (ADX) prior to investing in the United Arab Emirates (UAE). UBS' local custodian will obtain the NIN for UBS' clients.

Specialities

n/a

Additional information

n/a

Cut-Off Times - Client Deadlines

Equities DFP/RFP

NASDAQ Dubai

S 11 a.m. (NASDAQ Dubai via CBL)

ADX/DFM

n/a

Equities DVP/RVP

NASDAQ Dubai

S 09.00 a.m. (NASDAQ Dubai via CBL)

ADX/DFM

T 06.00 p.m.

Fixed Income DVP/RVP

NASDAQ Dubai

S 09.00 a.m. (NASDAQ Dubai via CBL)

ADX/DFM

T 06.00 p.m.

[↩ Back to top](#)**United Kingdom****SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository)

Euroclear UK & Ireland Limited (Formerly CRESTCo) is the central depository for most equities and fixed interest securities. Euroclear UK & Ireland Ltd. is also responsible for the Central Moneymarkets Office (CMO), the settlement system for money market instruments. Euroclear UK & Ireland Ltd. now provides depository and settlement services for instruments formerly held and settled through the Central Gilts Office and CMO.

Local Agent	BNP Paribas Securities Services London EC2R 6PA
SWIFT-Address (place of safekeeping)	PARBGB2LXXX
Local Clearing No	CREST ID: 0PMAY
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZXXX
Account Number of custodian with local agent	SIX SIS Omnibus ordinary: 2006420 SIX SIS Re-investment: 2006422 SIX SIS Third Party: 2006425
Favour of:	
Safekeeping Account Number in the name of	UBS Switzerland AG UBSWCHZH80A CH100025
Market Set-up	Account structure All securities are held in an omnibus account. Disclosure According to local market rules, the issuers of securities have the right to request the disclosure of the beneficial owners. In such rare cases, UBS will obtain the affected clients' prior consent if personal information must be disclosed. Investor ID n/a Restrictions for Investors n/a Specialities n/a
Additional information	n/a
Cut-Off Times - Client Deadlines	
Equities DFP/RFP	S 4.30 p.m.
Fixed Income DFP/RFP	S 4.30 p.m.
Equities DVP/RVP	S 1 p.m.
Fixed Income DVP/RVP	S 1 p.m.

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United States of America

SETTLEMENT INSTRUCTIONS

CSD (Central Securities Depository)	Depository Trust Company (DTC), New York Federal Reserve Bank
Local Agent	Citibank N.A, New York Branch 111 Wall Street NEW YORK, NY 10043
SWIFT-Address (place of safekeeping)	CITIUS33XXX
Local Clearing No	DTC DTC 00908 Agent ID. 27603 Fedwire for Treasury Notes & Bonds Fed. No. ABA 021000089 Fedwire Account Name: CUST
Custodian	SIX SIS AG, Zurich
SWIFT-Address (custodian)	INSECHZZXXX
Account Number of custodian with local agent	217217 Main A/C

217218 Restricted A/C
 217219 Reinvest A/C
 219904 W9 A/C
 219700 Partnership A/C
 212724 Low Price Stocks A/C

Favour of:

Account Number in the name of UBS Switzerland AG, Zurich
 UBSWCHZH80A
 ID 63535
 CH100025

Market Set-up**Account structure**

All securities are held in an omnibus account.

Disclosure

Japanese resident individuals invested in American Depository Receipts with Japanese underlyings have to be disclosed upon request by DTC. These clients must waive the banking secrecy.

Investor ID

n/a

Restrictions for Investors

Restricted Shares :

Private Placements lead to restricted shares which are blocked for a certain time until they are permitted for free trading. Usually restricted shares are issued physically and are directly held at BBH.

It must be considered that lifting restrictions on securities takes time, and that this process does not occur automatically but has to be requested individually. At the same time since securities are registered in the name of a Third party-it is not guaranteed that UBS will be informed about upcoming dividend payments or corporate action events.

For more information please contact the Account Manager.

"OFAC-Restrictions"

The Office of Foreign Assets Control (OFAC) is an authority that belongs to the US Treasury. It is based on the American foreign policy that imposes economic sanctions against several countries. The OFAC publishes a list with names of 'blocked natural and judicial persons' and several countries over which the US imposed sanctions. Securities from these countries are blocked at our custodian SIS/Brown Brothers Harriman. The lifting of the sanction can take several years. The securities can neither be transferred to another custodian nor delivered physically. Website:[OFAC](#).

Specialities

UBS accepts US Limited Partnerships (LP's) and US Limited Liability Companies (LLC's) as far as they are approved by Tax Advisory Dept. The beneficial owner has to disclose his identity and open a segregated account in his name, since LLC's & LP's are bound to liabilities which cannot be assumed by UBS.

Additional information

Max. nominal value per trade is USD 50 million

Investors in US Limited Partnerships must sign a disclosure declaration and open a segregated custody account at UBS' local custodian. There are certain tax responsibilities for such an investment as well

Cut-Off Times - Client Deadlines

Equities DFP/RFP S 5.15 p.m.

Fixed Income DFP/RFP S 5.15 p.m.

Equities DVP/RVP S 5.15 p.m.

Fixed Income DVP/RVP S 5.15 p.m.

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U.S. Treasury Bills, TB**SETTLEMENT INSTRUCTIONS**

CSD (Central Securities Depository), TB Federal Reserve Bank

Local Agent, TB JP MorganChase Bank, New York

SWIFT-Address (place of safekeeping), TB	CHASUS33
Local Clearing No, TB	Fed. No. ABA 021000021 Fedwire Account Name: CUST DTC Participant No. 00902 Agent Bank No. 00902
Favour of:	
Account Number in the name of, TB	UBS Switzerland AG UBSWCHZH80A S 97708
Additional information, TB	Max. nominal value per trade is USD 50 million
Cut-Off Times - U.S. Treasury Bills (TB)	
Equities DFP/RFP, TB	S 5.15 p.m.

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Clearstream Banking SA Funds (formerly Citco Dublin)

Local Agent	Citco Dublin
SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	190990
Additional information	Register Name: Citco Global Custody/Ref. UBS Switzerland AG

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Mitsubishi UFJ Global Custody S.A. Funds (formerly UBS Dublin)

SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	3000000
Additional information	n/a

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Citibank Singapore Funds

SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	8184303001
Additional information	n/a

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Citibank Luxembourg Funds

SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
Additional information	n/a

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UBS Luxembourg Funds

UBS Omnibus Client Account at agent	1000003
SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
Additional information	n/a

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Credit Suisse Mutual Funds

Local Agent	Credit Suisse Asset Management, Luxembourg
SWIFT-Address (place of safekeeping)	CSAMLULL
Custodian	Credit Suisse Zürich
SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
Account Number of custodian with local agent	39080
UBS Omnibus Client Account at agent	0835-0113800-55-00
Account in favour of	UBS Switzerland AG UBSWCHZH80A 113800-55
Additional information	CBL and ECL account of CSAM not available, outside instruction necessary.

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Clariden Leu

SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	0160-0699193-25-001
Additional information	n/a

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Kredietbank S.A. Luxembourgeoise

Local Agent	Local Agent: Kredietbank S.A, Luxembourgeoise
SWIFT-Address (place of safekeeping)	KBLXLULL
SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	51-062490-41
Additional information	n/a

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GAM Dublin

SWIFT Settlement Formatting Specialities	Please refer to the following document: SWIFT Settlement Formatting Specialities for Funds by Custodian
UBS Omnibus Client Account at agent	1006894
Additional information	n/a

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UBS Jersey Funds

SWIFT Settlement Formatting Specialities
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Please refer to the following document:

[SWIFT Settlement Formatting Specialities for Funds by Custodian](#)

UBS Omnibus Client Account at agent

100781.01

Additional information

n/a

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