

Additional Information for Investors
C-QUADRAT ARTS Total Return Balanced

Definitions

Fund – C-QUADRAT ARTS Total Return Balanced, a *Miteigentumsfonds* (co-ownership fund) pursuant to the Austrian Investment Fund Act (InvFG) 2011 as amended and is qualified as an Undertaking for Collective Investment in Transferable Securities (“UCITS”) under the Directive. The Fund has been licensed in Austria and is regulated by the Austrian Financial Market Authority (Finanzmarktaufsicht, FMA).

Management Company - Ampega Investment GmbH with registered office in 50679 Cologne, Charles-de-Gaulle-Platz 1, Germany registered in the commercial register of the local court (*Amtsgericht*) of Cologne: HRB 3495. The management company provides its management services for the Fund on the basis of the management passport which it was issued on 4 July 2012 for provision of cross-border services in Austria (section 36 InvFG, sections 49, 50 KAGB).

**Basic information on sale and redemption of participation units within the territory of
the Republic of Poland**

On the territory of the Republic of Poland, the Fund's Participation Units are distributed exclusively to institutional clients, in particular insurance companies, investing either directly or via unit-linked products. Therefore the Fund will be the underlying investment of unit linked products. Sales activities will focus on the insurance companies themselves, cooperating distribution companies and possible clients of unit linked life products. Within this target group insurance companies and similar product providers of pension schemes will be invested also throughout their own cover pool. The Fund will not market units throughout beneficial ownership or nominee structure. Detailed information on sale and redemption of the Fund's participation units is available in German at the following website: <http://www.ampega.de/home/fondsdetail/index.html?isin=AT0000825393&area=21>; in Polish version at the following website: <https://www.c-quadrat.com/pl/>.

**List of entities by intermediary of which the participation units are sold within the
territory of the Republic of Poland**

The Fund's participation units are sold and redeemed within the territory of the Republic of Poland through the distributors, i.e. entities entitled on the basis of the Polish Act of May 27 2004 on Investment Funds and Management of Alternative Investment Funds, and if the act so requires, on the basis of the permit of the Polish Financial Supervision Authority to act as an intermediary in disposing and redemption the titles of participation of foreign funds, in

particular the entities conducting brokerage activity, Polish banks conducting activity indicated in art. 70 sec. 2 of the Act of July 29th 2005 on Trading in Financial Instruments, Polish branches of credit institutions authorized to conduct activity in accepting and transferring acquisition or disposal orders of financial instruments, investment fund management companies and the entities, which are mentioned in art. 32 par. 2 of the Law.

At the moment of drafting the hereby Additional Information for Investors, the Fund has not concluded any distribution agreements.

Tax obligations of the Investors in the Fund

The taxation of income arising from participation in the Fund payable to the Fund's Investors with their residence in the Republic of Poland is governed by the provisions of the Personal Income Tax Act of 26 July 1991 (Journal of Laws 1991 No. 80, items 350 as amended or the provisions of the Corporate Income Tax Act of 15 February 1992; Journal of Laws 1992 No. 21, items 86 as amended).

The aforementioned information is of general nature and for information purposes only. It does not constitute any tax opinion and may be subject to change. It is recommended for the Fund's Investors to seek advice from the licensed tax advisors on the detailed rules on taxation and familiarise with the rules provided for in the Fund's prospectus.

Indication of the Fund's representative

The Fund's representative is Moventum Fund Services Sp. z o.o. with its registered office at Warsaw, at 21 Cybernetyki Street, 02-677 Warsaw.

The Representative conducts activity on the principles determined in the Polish Act of May 27 2004 on Investment Funds and Management of Alternative Investment Funds (the Journal of Laws 2004 No 146 item. 1546 with amendments) on the basis of an agreement with the Management Company.

The representative represents the Fund vis-à-vis the Investors, in particular within the scope of providing information for the benefit of the Investors. Other obligations of the Fund's representative includes:

- representing the Fund in the proceedings before the Polish Financial Supervision Authority,
- performing all functions necessary to serve the investors, in particular handling complaints and queries of Investors,
- notifying the Polish Financial Supervision Authority on amendments to the Fund's documents,
- providing investors with the Fund - related documents.

Indication of the Fund's paying agent

The Fund's paying agent is Raiffeisen Bank Polska S.A. with its registered office in Warsaw, Grzybowska 76 Street, 00-549 Warsaw.

The Fund's paying agent conducts activity on principles determined in the Polish Act of May 27 2004 on Investment Funds and Management of Alternative Investment Funds (the Journal of Laws 2004 no 146 item. 1546 with amendments) on the basis of the agreement with the Management Company.

Duties of the Fund's paying agent to performance of which it is obliged under the agreement with the Management Company include in particular:

- accepting payments for the purchase of participation units in the Fund,
- making payments from the redemption of participation units in the Fund,
- distributing income and/or other benefits to the Investors according to the Management Company's instructions.

Indication of the Fund's website

Information and documents relating to the Fund are available at the following website: <http://www.ampega.de/home/fondsdetail/index.html?isin=AT0000825393&area=21> (in German language); in a Polish version under <https://www.c-quadrat.com/pl/>.